

Nonlinear Wave Problems that I can't Control

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Postdoc, 2 EU PhDs: on breaking waves



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2 Three Control Challenges

3 Variational Space-Plus-Time Water Waves

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1. Introduction

Some hydrodynamics . . . rogue waves are anomalously high waves defined relative to a significant wave height H_s .

- Index (Khariff et al. '09, Dysthe et al. '08):

$$AI = H_{rw}/H_s > 2 \quad \text{or} \quad AI = \eta_{rw}/H_s > 1.25 \quad (1)$$

- Relevance in maritime & coastal engineering —ship design & safety offshore structures
- **Pyramidal** rogue wave (Faulkner 2001):



Fig.1. Pyramidal wave off south Japan

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Causes rogue waves, e.g., Khariff et al. (2009) & Faulkner (2001, 2003):

- spatial wave focussing due to refraction or wave caustics, or **wave focussing** in coastal convergences
- **crossing seas**, nearly standing waves with pyramidal waves.

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Here, emphasis will lie on rogue waves & **wave focussing**:

- in **crossing seas**
- due to coastal or submarine **convergences**.

Moreover, **(rogue) wave energy devices** based on wave focussing will be introduced or discussed:

- the TapChan or tapered channel device
- the Oscillating Water Column (OWC) device with wind turbine
- a **new device** with a more direct energy conversion?

2. Three Control Challenges

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Employ port-Hamiltonian methods to control:

- a wave maker to create the **highest rogue wave**?
- geometry and dynamo in a new **rogue wave energy** device?
- **maximum berm formation** by breaking waves on beaches?

Bore Soliton Splash

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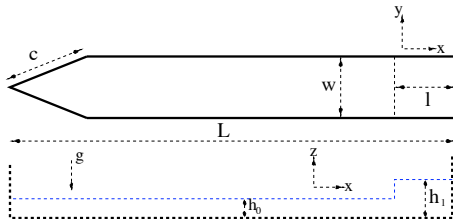
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- Water channel: $L = 43.5 \pm 0.25\text{m}$, $D = 1.20\text{m}$,
 $w = 2 \pm 0.05\text{m}$



- Sluice compartment & gate, lifted with 2.5m/s
- V-shaped or linear convergence at other end with $c = 2.7\text{m}$
- Start at rest with water levels $h_0 \in [0.32, 0.47]\text{m}$ and $h_1 \in [0.67, 1.02]\text{m}$.

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- 7+2 Cases of which 3 repeats (reproducibility):

#	h_0 (m) $\pm 0.01\text{m}$	h_1 (m) $\pm 0.01\text{m}$	H_s $\pm 0.05\text{m}$	H_{rw} $\pm 0.5\text{m}$	Peak #	Comments
1	0.32	0.67	-	0.6	-	bore
2	0.38	0.74	-	2.5	-	good splash
3	<u>0.41</u>	<u>0.9</u>	0.35	3.25	2 nd	thin jet cf. 6 & 8
4	0.47	1.0	0.35	1	2 nd	bore & low
5	0.41	1.02	0.40	1.5	1 st	bore & low
6	<u>0.41</u>	<u>0.9</u>	0.35	3.5	2 nd	BSS cf. 3 & 8
7	0.45	0.8	0.35	2.5	2 nd	good splash
8	<u>0.41</u>	<u>0.9</u>	0.35	3.5	2 nd	BSS & ++
9	0.43	0.9	0.45	1.8	1 st	bubble

Phenomenology Bore Soliton Splash

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- Estimated wave amplitudes $H_s \in [0.35, 0.45]$ m
- After opening sluice gates three solitary waves emerge: large, medium and small
- First highest soliton often breaks into spilling breaker
- **Variety of outcomes:** minor/major reflections, resonances between waves, smooth waves, sheets, pyramidal waves
- $H_{rw} \in [0.6, 3.5]$ m.

Bore-Soliton-Splash

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Highest Cases 3, 6 & 8:

- $H_s = 0.35\text{m}$ & $H_{rw} = [3.25, 3.5, 3.5]\text{m}$

- *bore soliton splash*

Wout Zweers youtube channel:

<https://www.youtube.com/watch?v=YSXsXNX4zW0>

- Truly rogish:

$$Al = \frac{H_{rw}}{H_s} = \frac{3.5}{0.35} \approx 10.$$

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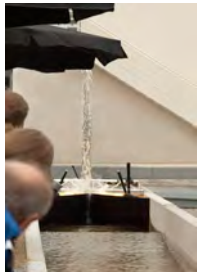
a)



b)



c)



Control the Bore-Soliton-Splash?

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- **Control the wave maker**, including the resulting:
- nonlinearity
- geometry
- dispersion
- solitons
- spilling breaker or bore
- **to obtain the highest bore soliton splash?**

Control of Soliton-Splash with KP?

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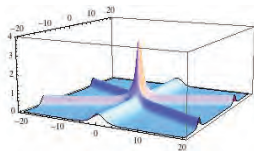
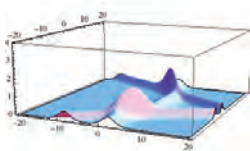
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- For 3 KdV solitons with amplitude A , there is “V-shaped channel geometry” with angles θ_{max} for which $A_{max} = 9$
- **Exact KP** solution by Kodama (idea, Dresden '11):

$$\partial_x \left(\partial_t \eta + \frac{3}{2} \eta \partial_x \eta + \frac{1}{6} \partial_x^3 \eta \right) + \frac{1}{2} \partial_y^2 \eta = 0 \quad (2)$$



- Fine in open sea, but inconsistent in water wave channel!
- Solution: **bidirectional** Boussinesq model.

Rogue Wave Energy Device

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Combine geometric **wave focussing with linear dynamo**:

- Dynamo above water on a mast attached to floater.
- More direct energy conversion!
- **Mechanically robust?**
- Working **prototype** (using flash lights charged by shaking).
- Link: <http://www1.maths.leeds.ac.uk/~obokhove/WaveEnergy.m4v>

3. Space-plus-Time Finite Element Water Waves

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Key geometric issues:

- in the **spatial discretization** of Miles' variational principle for nonlinear water waves, and
- in the **temporal discretization** of the **non-autonomous** spatially discrete variational principle.

Verification and validation against measurements of **nonlinear waves driven by a piston wave maker**.

Space-plus-Time Finite Element Water Waves

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Consider the (2D) potential flow water wave problem:

- gravity as restoring force with acceleration g
- velocity $\mathbf{u} = (u, w)^T = \nabla\phi$ with velocity potential $\phi = \phi(x, z, t)$
- domain $\Omega(t)$ with bottom S_B , wall $S_L : x = L$ and piston wave maker at $x = R(t)$:

$$\Omega(t) : R(t) < x < L \quad \text{and} \quad -H(x) < z < \eta(x, t) \quad (3)$$

- free surface variables $\eta(x, t)$ & $\phi_s = \phi(x, z = \eta(x, t), t)$
- kinematic **single-valued** free surface $z = \eta(x, t)$:

$$\partial_t \eta + \partial_x \phi \partial_x \eta = \partial_z \phi \quad \text{at} \quad z = \eta(x, t) \quad (4)$$

- dynamic free surface condition: continuity of pressure.

Miles' Variational Principle

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- Miles 1977 (see also Cotter & B. 2010):

$$\begin{aligned} 0 &= \delta \int_0^T \mathcal{L}[\phi, \phi_s, \eta, t] dt \\ &= \delta \int_0^T \phi_s \partial_t \eta - \mathcal{H}[\phi, \phi_s, \eta, t] dt \\ &= \delta \int_0^T \int_{R(t)}^L \phi_s \partial_t \eta - \frac{1}{2} g \eta^2 \\ &\quad - \int_{-H(x)}^{\eta(x,t)} \frac{1}{2} |\nabla \phi|^2 dz dx - \int_{-H_w}^{\eta_w} \frac{dR}{dt} \phi_w dz dt \end{aligned}$$

- piston wave-maker $R(t)$ with $\phi_w \equiv \phi(R(t), z, t)$,
 $H_w = H(R(t))$, $\eta_w = \eta(R(t), t)$
- non-autonomous due to piston wave-maker
- canonical Hamiltonian system.

Miles' Variational Principle

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Variations yield, using

$$\delta\eta(x, 0) = \delta\eta(x, T) = 0$$

$$\delta\phi_s = (\delta\phi)_s + (\partial_z\phi)_s\delta\eta$$

$$\mathbf{n}_s = (-\eta_x, 1)^T / \sqrt{1 + (\partial_x\eta)^2},$$

dynamic/kinematic conditions and Laplace's equation, BC's:

$$\delta\eta(x, t) : \partial_t\phi_s + \frac{1}{2}(\partial_x\phi)^2 + g\eta - \frac{1}{2}(\partial_z\phi)_s^2(1 + (\partial_x\eta)^2) = 0$$

$$\delta\phi_s(x, t) : \partial_t\eta + \partial_x\phi_s\partial_x\eta - (\partial_z\phi)_s(1 + (\partial_x\eta)^2) = 0$$

$$\delta\phi(x, z, t) : \nabla^2\phi = 0$$

$$\delta\phi_w : dR/dt - (\partial_x\phi)_w = 0$$

$$\delta\phi_{B,L} : \mathbf{n} \cdot \nabla\phi = 0$$

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FEM formulation of Miles' variational principle.

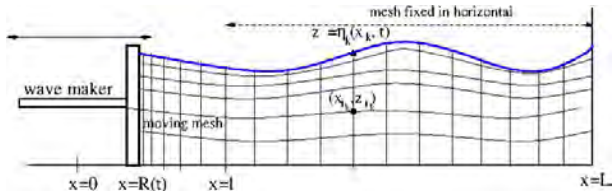
- FEM test/basis functions $\tilde{\varphi}_j(x, z, t)$, $\hat{\varphi}_k(x, t)$ with i, j in Ω
- Indices k, l, r at free surface, i', j' in interior & m, \tilde{m} at wave maker.
- Substitute finite element expansions directly into VP:

$$\phi_h(x, z, t) = \phi_j(t)\tilde{\varphi}_j(x, z, t)$$

$$\phi_{sh}(x, t) = \phi_l(t)\varphi_l(x, t)$$

$$\eta_h(x, t) = \eta_k(t)\varphi_k(x, t)$$

Space FEM: Key Issues



- Moving mesh:
- **Issue 1:** realize that variations of interior nodes of mesh are (dynamically) coupled to variations of free surface nodes.
- **Issue 2:** find robust (variational) time integrators for (non-)autonomous system.
- Otherwise: nonlinearly unstable discretization (cf. DGFEM in 2007).

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- The resulting **spatially discrete** non-autonomous VP:

$$\begin{aligned} 0 &= \delta \int_0^T L[\phi_j, \eta_k, t] dt \\ 0 &= \delta \int_0^T M_{kl} \phi_k \frac{d\eta_l}{dt} - H[\phi_j, \eta_k, t] dt \\ &= \delta \int_0^T M_{kl} \phi_k \frac{d\eta_l}{dt} - D_{kl} \phi_k \eta_l - \\ &\quad - \frac{1}{2} g M_{kl} \eta_k \eta_l - \frac{1}{2} A_{ij} \phi_i \phi_j - W_m \phi_m dt. \end{aligned}$$

- $M_{kl}, D_{kl}, A_{ij}, W_m$ depend on $\{\eta_k(t), t\}$: mesh and wave maker movements.

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- The matrices and vectors depend on $\{\eta_k(t), t\}$ as follows:

$$M_{kl}(t) = \int_{R(t)}^L \varphi_k \varphi_l dx$$

$$D_{kl}(t) = - \int_{R(t)}^L \frac{\partial \varphi_k}{\partial t} \varphi_l dx,$$

$$A_{ij}(\eta_r, t) = \int_{\Omega_h} (\nabla \tilde{\varphi}_i \cdot \nabla \tilde{\varphi}_j) dx dz$$

$$W_m(\eta_1, t) = \int_{-H_w}^{\eta_1} \frac{dR}{dt} \tilde{\varphi}_m|_{x=R(t)} dz,$$

- due to wave maker via $R(t)$ & mesh movement via $\eta(x, t)$.

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- **Issue 1:** variation yields

$$\begin{aligned}\delta\phi_k &: M_{kl} \frac{d\eta_l}{dt} - D_{kl}\eta_l - A_{ik}\phi_i - W_1\delta_{1k} = 0 \\ \delta\eta_l &: \frac{d(M_{kl}\phi_k)}{dt} + D_{kl}\phi_k + M_{kl}\eta_l + \frac{1}{2} \frac{\partial A_{ij}}{\partial \eta_l} \phi_i \phi_j \\ &\quad + \frac{\partial W_m}{\partial \eta_l} \delta_{1l} \phi_m = 0 \\ \delta\phi_i &: A_{ij}\phi_j + W_{m'}\delta_{m'i'} = 0\end{aligned}$$

- Hence, we can eliminate interior degree of freedom:

$$\begin{aligned}A_{i'j'}\phi_{i'} &= -A_{lj'}\phi_l + W_{m'}\delta_{m'j'} \implies \\ \phi_{i'} &= -A_{lj'}A_{j'i'}^{-1}\phi_l - W_{m'}A_{m'i'}^{-1}.\end{aligned}$$

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- Eliminate $\phi_{j'}$: **spatially discrete** VP at free surface:

$$0 = \delta \int_0^T L[\phi_k, \eta_k, t] dt$$

$$0 = \delta \int_0^T M_{kl} \phi_k \frac{d\eta_l}{dt} - H[\phi_k, \eta_k, t] dt$$

$$= \delta \int_0^T M_{kl} \phi_k \frac{d\eta_l}{dt} - D_{kl} \phi_k \eta_l -$$

$$-\frac{1}{2} g \eta_k \eta_l - \frac{1}{2} B_{kl} \phi_k \phi_l - C_l \phi_l - F_w dt.$$

- Cf. discrete **Boundary Element Method**
- Function $F_w(t) = -\frac{1}{2} W_{\tilde{m}'} A_{\tilde{m}'m'}^{-1} W_{m'}$ & Schur complement

$$B_{kl}(\eta_r, t) = (A_{kl} - A_{ki'} A_{i'j'}^{-1} A_{j'l})$$

$$C_l(\eta_r, t) = W_1 \delta_{1l} - A_{lj'} A_{j'm'}^{-1} W_{m'}.$$

Geometric Wave Modeling: Time FEM

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- Substitute a discontinuous Galerkin finite element expansion into the VP in each time slab $[t_n, t_{n+1}]$:

$$\eta_l = \eta_l^{n,+} \left(\frac{t_{n+1}-t}{\Delta t_n} \right) + \eta_l^{n+1,-} \left(\frac{t-t_n}{\Delta t_n} \right)$$
$$\phi_k = \phi_k^{n+1/2} \frac{2(t-t_n)}{\Delta t_n} + \phi_k^{n,+} \left(\frac{t_n+t_{n+1}-2t}{\Delta t_n} \right).$$

- Define the delta function due to $M_{kl}\phi_k d\eta_l/dt$ -term by splitting node n in a narrow element with C^0 -connection, and calculating its contribution in the limit of zero width:

$$p = M_{kl}\phi_k = p_L + (p_R - p_L)(4\tau - 3\tau^2)$$
$$q = \eta_l = q_L + (q_R - q_L)\tau$$
$$\int_0^1 p \frac{dq}{dt} d\tau = p_R(q_R - q_L),$$

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- **Issue 2:** algebraic VP with “extended Hamiltonian” H :

$$\begin{aligned} 0 &= \delta \sum_{n=0}^N L[\phi_k^{n,+}, \phi_k^{n+1/2}, \eta_k^{n,+}, \eta_k^{n+1,-}] dt \\ &= \delta \sum_{n=0}^N M_{kl}^{n+1/2} \phi_k^{n+1/2} (\eta_l^{n+1,-} - \eta_l^{n,+}) \\ &\quad - \frac{\Delta t}{2} (H^{n+1/2}[\phi_k^{n+1/2}, \eta_k^{n,+}] + H^{n+1/2}[\phi_k^{n+1/2}, \eta_k^{n+1,-}]) \\ &\quad + M_{kl}^{n+1,+} \phi_k^{n+1,+} (\eta_l^{n+1,+} - \eta_l^{n+1,-}) \end{aligned}$$

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- Variations (evaluation $\dagger = n + 1/2$):

$$\delta\phi_k^{n+1,+} : \eta_l^{n+1,+} = \eta_l^{n+1,-} \quad \text{continuous!}$$

$$\delta\eta_l^{n,+} : M_{kl}^{n+1/2} \phi_k^{n+1/2} = M_{kl}^n \phi_k^{n,+} \\ - \frac{1}{2} \Delta t \frac{\partial H^{n+1/2}[\phi_k^{n+1/2}, \eta_k^{n,+}]}{\partial \eta_l^{n,+}}$$

$$\delta\phi_k^{n+1/2} : M_{kl}^{n+1/2} \eta_l^{n+1,-} = M_{kl}^{n+1/2} \eta_l^{n,+} \\ + \frac{1}{2} \Delta t \left(\frac{\partial H^\dagger[\phi_k^{n+1/2}, \eta_k^{n,+}]}{\partial \phi_k^{n+1/2}} + \frac{\partial H^\dagger[\phi_k^{n+1/2}, \eta_k^{n+1,-}]}{\partial \phi_k^{n+1/2}} \right)$$

$$\delta\eta_l^{n+1,-} : M_{kl}^{n+1/2} \phi_k^{n+1,+} = M_{kl}^n \phi_k^{n+1/2} \\ - \frac{1}{2} \Delta t \frac{\partial H^{n+1/2}[\phi_k^{n+1/2}, \eta_k^{n+1,-}]}{\partial \eta_l^{n+1,-}}$$

Geometric Wave Modeling: Unfolding

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- Reduces to 2nd-order symplectic Störmer-Verlet time integrator in the autonomous case
- “Unfold” the kinetic energy term again to avoid direct inversion of the matrix $A_{i'j'}$
- This **defines** the nature of the time discretization of $\phi_{i'}$ in the interior
- Use Newton iteration and Petsc linear algebra routines.

Geometric Wave Modeling: Validation

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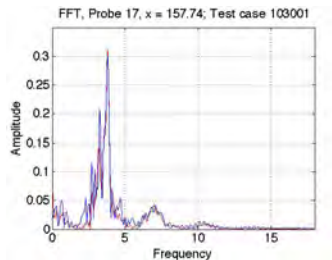
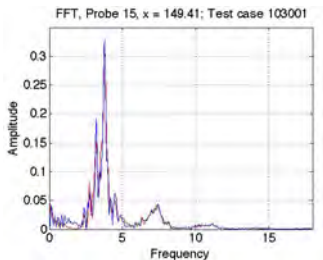
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- *Driven wave focusing* in MARIN's wave tank
http://www1.maths.leeds.ac.uk/~obokhove/202002_zoom_splash_2.avi
- Entire *wave tank* MARIN with false vertical wall instead of *beach*.
- Comparison with measured data MARIN is good:



4. Conclusion

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- **Posed control challenges:** bore soliton splash, rogue wave energy device.
- **Key issues** in variational nonlinear water wave modelling.
- **Discontinuous Galerkin variational time approach generalizes** to new pseudo-symplectic integrators.
- All extends to 3D & more general mesh movement.
- **UK project in preparation:** **flood control** coupled to ensemble predictions of local precipitation and water flow in uplands & river systems.

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Ad. 2a. Maximize Shingle Beach Formation

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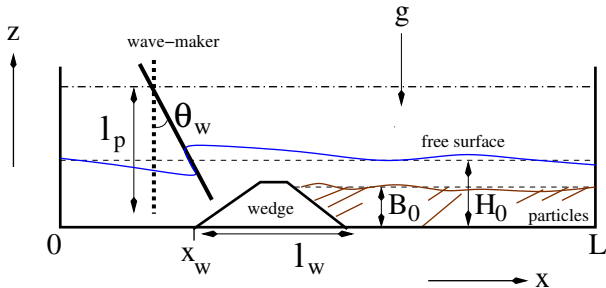
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Hele-Shaw beach dynamics

- Sketch set-up Hele-Shaw cell:



- All breaking *wave types* observed.
- *Beaches, berms & sand bars* emerge in min/hr by waves with wave forcing $T \approx 1s$.

Ad. 2b. Smooth Case 9: No Rogue Wave

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Take $h_0 = 0.43\text{m}$ instead of $h_0 = 0.41\text{m}$, same $h_1 = 0.9\text{m}$:



Smooth Case 9: No Rogue Wave

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Conclusion

Appendix



Smooth Case 9: No Rogue Wave

Wave Control

Onno
Bokhove

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Ad. 2c. Bore-Soliton-Splash with KP?

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- **Kadomtsev-Petviashvili equation** is unidirectional dispersive wave equation with weak lateral or y -dependence (Kodama 2010):

$$\partial_x \left(\partial_t \eta + \frac{3}{2} \eta \partial_x \eta + \frac{1}{6} \partial_x^3 \eta \right) + \frac{1}{2} \partial_y^2 \eta = 0 \quad (5)$$

- Free surface deviation $\eta(x, t)$ (scaled).

Bore-Soliton-Splash with KP?

- When 2 KdV sech^2 -solitons with $A = 2c$ & phase speed c :

$$\eta(x, t) = 2c \text{sech}^2(\sqrt{3c/2}(x - x_0 - ct)) \quad (6)$$

approach each other under an angle 2θ (or one soliton grazes a wall with slant θ): then there is an angle θ_{max} for which $A_{max} = 4$ (Yeh & Kodama JFM 2011):

