

# Borel reducibility and category theory

Andrew Brooke-Taylor

University of Leeds

Joint work in progress with  
Filippo Calderoni

Set Theory Today  
Vienna, September 2018

# Borel reducibility

## Definition

Let  $X$  and  $Y$  be standard Borel spaces, let  $E$  be an equivalence relation on  $X$ , and let  $F$  be an equivalence relation on  $Y$ . We say that  $E$  is *Borel reducible* to  $F$ , and write  $E \leq_B F$ , if there is a Borel function  $f : X \rightarrow Y$  such that

$$x_1 E x_2 \quad \text{iff} \quad f(x_1) F f(x_2).$$

## Example

Let  $\mathcal{V}$  be a countable relational vocabulary, with relation symbols  $R_i$  of arity  $a_i$ . Each  $\mathcal{V}$ -structure  $\mathcal{M}$  with underlying set  $\mathbb{N}$  can be encoded by a single characteristic function  $\chi_{\mathcal{M}}$  in  $\prod_{R_i \in \mathcal{V}} 2^{\mathbb{N}^{a_i}}$ . We denote this space  $\prod_{R_i \in \mathcal{V}} 2^{\mathbb{N}^{a_i}}$  of (codes for) structures by  $\text{Str } \mathcal{V}$ .

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Similarly, for any first order (or  $\mathcal{L}_{\omega_1, \omega}$ ) sentence  $\varphi$  over  $\mathcal{V}$ , we can consider the subspace  $\text{Mod}(\varphi)$  of  $\text{Str}(\mathcal{V})$  of models of  $\varphi$ .

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### Definition (H. Friedman and L. Stanley)

The isomorphism relation of a class of structures  $\mathbf{Mod}(\varphi)$  is *Borel complete* if every isomorphism relation on a class of structures Borel reduces to it.

# Examples of Borel complete classes

- Graphs
- Groups (A. Mekler)
- Rooted trees (H. Friedman & L. Stanley)
- Linear orders (H. Friedman & L. Stanley)
- Fields (H. Friedman & L. Stanley)
- Boolean algebras (R. Camerlo & S. Gao)
- Quandles (A.B.-T. & S. Miller)

# Another perspective

## Recall

A *category*  $\mathcal{C}$  consists of

- a class of *objects*, and
- for every pair of objects  $A$  and  $B$  of  $\mathcal{C}$ , a set  $\text{Hom}_{\mathcal{C}}(A, B)$  of *morphisms* from  $A$  to  $B$ ,

along with identity morphisms and a composition (partial) function of morphisms, satisfying suitable axioms. A *functor* is a homomorphism of categories: it takes objects to objects and morphisms to morphisms in a way that respects composition and identities.

E.g.

For any vocabulary  $\mathcal{V}$  and any  $\mathcal{V}$ -sentence  $\varphi$ , we have the category whose objects are all models of  $\varphi$ , and whose morphisms are the  $\mathcal{V}$ -homomorphisms. Such categories are called *algebraic*.



A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is *full* if for all objects  $C_0$  and  $C_1$  of  $\mathcal{C}$ ,  $F$  restricted to  $\text{Hom}_{\mathcal{C}}(C_0, C_1)$  is surjective: for all  $g: F(C_0) \rightarrow F(C_1)$  there is an  $f: C_0 \rightarrow C_1$  such that  $F(f) = g$ .

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A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is *faithful* if for all objects  $C_0$  and  $C_1$  of  $\mathcal{C}$ ,  $F$  restricted to  $\text{Hom}_{\mathcal{C}}(C_0, C_1)$  is injective: for all  $g: F(C_0) \rightarrow F(C_1)$  there is at most one  $f: C_0 \rightarrow C_1$  such that  $F(f) = g$ .

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Note that a fully faithful  $F$  can only fail to be an embedding by identifying isomorphic objects.

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## Idea

Let's smush these two notions of maximal complexity together!



## Definition

A *Borel category*  $\mathcal{C}$  is a pair  $(\text{Obj}(\mathcal{C}), \text{Mor}(\mathcal{C}))$  of standard Borel spaces endowed with

- Borel functions  $\text{dom}$  and  $\text{cod}$  from  $\text{Mor}(\mathcal{C})$  to  $\text{Obj}(\mathcal{C})$ ,
- a Borel function  $\text{id}$  from  $\text{Obj}(\mathcal{C})$  to  $\text{Mor}(\mathcal{C})$ , and
- a Borel function  $\circ$  from  $\{(g, f) \in \text{Mor}(\mathcal{C})^2 \mid \text{dom}(g) = \text{cod}(f)\}$  to  $\text{Mor}(\mathcal{C})$ ,

such that  $(\text{Obj}(\mathcal{C}), \text{Mor}(\mathcal{C}))$  with these functions is a category, that is,

- for all  $f, g$  and  $h$  in  $\text{Mor}(\mathcal{C})$  with  $\text{dom}(g) = \text{cod}(f)$  and  $\text{dom}(h) = \text{cod}(g)$ ,  
 $(h \circ g) \circ f = h \circ (g \circ f)$ ,
- for all  $a \in \text{Obj}(\mathcal{C})$ ,  $\text{dom}(\text{id}(a)) = \text{cod}(\text{id}(a)) = a$ ,
- for all  $f \in \text{Mor}(\mathcal{C})$ ,  $f \circ \text{id}(\text{dom}(f)) = f$  and  $\text{id}(\text{cod}(f)) \circ f = f$ .

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 $(h \circ g) \circ f = h \circ (g \circ f)$ ,
- for all  $a \in \text{Obj}(\mathcal{C})$ ,  $\text{dom}(\text{id}(a)) = \text{cod}(\text{id}(a)) = a$ ,
- for all  $f \in \text{Mor}(\mathcal{C})$ ,  $f \circ \text{id}(\text{dom}(f)) = f$  and  $\text{id}(\text{cod}(f)) \circ f = f$ .

E.g.

Let  $\text{Mor } \mathcal{V}$  be the subset of  $\text{Str } \mathcal{V} \times \text{Str } \mathcal{V} \times \mathbb{N}^{\mathbb{N}}$  consisting of those  $(\mathcal{M}, \mathcal{N}, f)$  such that  $f$  is a  $\mathcal{V}$ -homomorphism from  $\mathcal{M} \rightarrow \mathcal{N}$ . Then  $\mathbf{Str } \mathcal{V} = (\text{Str } \mathcal{V}, \text{Mor } \mathcal{V})$  is a Borel category.

## Definition

A *Borel functor* from a Borel category  $\mathcal{C}$  to a Borel category  $\mathcal{D}$  is a pair of Borel functions  $F_{\text{Obj}}: \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$  and  $F_{\text{Mor}}: \text{Mor}(\mathcal{C}) \rightarrow \text{Mor}(\mathcal{D})$  that respect the functions  $\text{dom}$ ,  $\text{cod}$ ,  $\text{id}$  and  $\circ$ .

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- $F_{\text{Obj}}(\text{dom}(f)) = \text{dom}(F_{\text{Mor}}(f))$ ,
- $F_{\text{Obj}}(\text{cod}(f)) = \text{cod}(F_{\text{Mor}}(f))$ ,
- $F_{\text{Mor}}(\text{id}(C)) = \text{id}(F_{\text{Obj}}(C))$ ,
- $F_{\text{Mor}}(g \circ f) = F_{\text{Mor}}(g) \circ F_{\text{Mor}}(f)$ .

For  $A \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Mor}(\mathcal{C})$  we write  $F(A)$  for  $F_{\text{Obj}}(A)$  and  $F(f)$  for  $F_{\text{Mor}}(f)$ .

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- $F_{\text{Obj}}(\text{dom}(f)) = \text{dom}(F_{\text{Mor}}(f))$ ,
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- $F_{\text{Mor}}(\text{id}(C)) = \text{id}(F_{\text{Obj}}(C))$ ,
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## Note

Borel functors must respect isomorphisms: if  $g \circ f = \text{id}$  and  $f \circ g = \text{id}$  then

$$F(g) \circ F(f) = F(\text{id}) = \text{id} \text{ and } F(f) \circ F(g) = F(\text{id}) = \text{id}.$$

But they need not respect non-isomorphism.

## Definition

A *functorial Borel reduction*  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a Borel functor from  $\mathcal{C}$  to  $\mathcal{D}$  such that  $F_{\text{Obj}}$  is a Borel reduction from the isomorphism relation on  $\mathcal{C}$  to the isomorphism relation on  $\mathcal{D}$ . That is,  $C_0 \cong_{\mathcal{C}} C_1$  if and only if  $F(C_0) \cong_{\mathcal{D}} F(C_1)$ .

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## Note

A fully faithful Borel functor is a functorial Borel reduction.