

# Approximate amenability and Charles' role in its advancement

Fereidoun Ghahramani



Department of Mathematics  
University of Manitoba

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$A$  = a Banach algebra

$X$  = a Banach  $A$ -bimodule

Derivation  $D : A \longrightarrow X$  is a linear mapping that satisfies

$$D(ab) = D(a) \cdot b + a \cdot D(b), \quad (a, b \in A).$$

$D$  is **inner** if  $\exists x \in X$  such that

$$D(a) = a \cdot x - x \cdot a, \quad (a \in A), \quad \text{i.e. } D = \text{ad}_x$$

# amenable Banach algebras

$A$  is amenable if every continuous  $D : A \longrightarrow X^*$  is inner, for all  $X$ .

Concept founded by **Barry Johnson**, in late 1960's.

Motivated by his attempts in answering the following question:

Suppose that  $G$  is a locally compact group,  $L^1(G)$  is the group algebra and  $M(G)$  is the measure algebra of  $G$ . Given  $\mu \in M(G)$ , the mapping

$$D_\mu : f \mapsto f \star \mu - \mu \star f, \quad (f \in L^1(G))$$

is a derivation.

**Question** Is every derivation  $D$  on  $L^1(G)$  a  $D_\mu$  for some  $\mu \in M(G)$ ? (Barry Johnson's Ph.D. thesis, Cambridge 1962).

**Defn.**(A. Helemskii)  $A$  is **contractible** if every continuous  $D \rightarrow X$  is inner, for all  $X$ .

It is not known whether there exists an infinite-dimensional contractible Banach algebra.

# approximately inner derivation

Continuous derivation  $D : A \rightarrow X$  is **approximately inner** if

$$D(a) = \lim_i \operatorname{ad}_{x_i}(a), \quad (a \in A).$$

All derivations will be assumed to be **continuous**.

# Approximately amenable Banach algebra

**Defn.** (R.J. Loy, F. Gh.)  $A$  is **approximately amenable** if every  $D : A \longrightarrow X^*$  is approximately inner for all  $X$ .

**Boundedly approximately amenable:**

$D = \lim \text{ad}_{X_i^*}(\text{SO})$ , for some operator-norm bounded net  $(\text{ad}_{X_i^*})$ .



# Approximately contractible

$$D : A \longrightarrow X, \dots$$

**Boundedly approximating contractible ...**

**uniformly approximating amenable** (contractible):

Approximation is in operator-norm topology - instead of strong-operator topology.

# Weak\* approximate amenability

Approximation is in weak\* -topology.

# Equivalences

1. (**B. E. Johnson**, 2000) uniformly approx. contractible  $\Leftrightarrow$  contractible.
2. (**A. Pirkowskii**, and independently, **R. J. Loy, Y. Zhang, F. Gh.**, 2005)  
unif. approx. amen.  $\Leftrightarrow$  amen.
3. (**R. J. Loy, Y. Zhang, F. Gh.**, 2004)  
Approx. amen.  $\Leftrightarrow$  Approx. contract.  $\Leftrightarrow$  Weak\*-approx. amen.

# Intrinsic characterization of amenability

**Barry Johnson**  $A$  is amenable iff there exists a **bounded** net  $(M_i) \subset A \hat{\otimes} A$

such that:

①  $a \cdot M_i - M_i \cdot a \rightarrow 0,$

②  $\pi(M_i) \cdot a \rightarrow a, \quad (a \in A),$

Where  $\pi : A \hat{\otimes} A \longrightarrow A$  is the map specified by

$$\pi(a \otimes b) = ab, \quad (a, b \in A).$$

# Interinsic characterization of approximate amenability

$A$  is approximately amenable iff there exists in net  $(M_i) \subset (A^\# \hat{\otimes} A^\#)^{**}$  such that

$$a \cdot M_i - M_i \cdot a \rightarrow 0,$$

$$\pi^{**}(M_i) = 1, \forall i.$$

(Loy, Gh., 2001)

$A$  is approx. amen. if and only if, there exist nets  $(M_i) \subset (A \hat{\otimes} A)^{**}$ ,  $(F_i), (G_i) \subset A^{**}$ , such that

$$(i) \quad a.M_i - M_i.a + F \otimes a - a \otimes G \longrightarrow 0 \quad (a \in A)$$

$$(ii) \quad a.F_i \longrightarrow a, G_i.a \longrightarrow a \quad (a \in A)$$

$$(iii) \quad \pi^{**}(M_i) = F_i + G_i \quad \forall i.$$

$$\pi(a \otimes b) = ab$$

For bounded approximate amenability, additionally,  $\exists C > 0$

$$(i') \quad \|a.M_i - M_i.a + F_i \otimes a - a \otimes G_i\| \leq C\|a\|, \quad \forall a, \forall i;$$

$$(ii') \quad \|a.F_i\| \leq C\|a\|, \quad \|G_i.a\| \leq C\|a\| \quad \forall a \in A, \forall i.$$



For bounded approximate contractibility

$$(F_i), (G_i) \subset A, (M_i) \subset A \hat{\otimes} A, \exists C > 0$$

$$\|\cdot\| \leq C\|a\|.$$

# Approximate identities

1. (**R. J. Loy, F. Gh.**, 2000)  $A$  approx. amen  $\Rightarrow A$  has a right approx. identity and a left approx. identity.

2. (Y. Choi, Y. Zhang, F. Gh., 2007) Suppose that  $A$  is bddly. approx. amen. and has a multiplier-bounded right approx. identity and a multiplier-bounded left approx. identity.  $\Rightarrow A$  has a bounded approximate identity.

[Defn:  $(e_i)$  is multiplier-bounded if  $\exists K > 0$ , such that  $\|ae_i\| \leq K\|a\|, \forall a \in A, \forall i$ ].

(**Y. Choi, Y. Zhang, F. Gh.**, 2007) Suppose that  $A$  is boundedly approximately contractible. Then  $A$  has a bounded approximate identity.

Does the result extend to bddly. approx. amen. algebras?

(**C. J. Read, F. Gh.**, 2010) There exists a boundedly approximately amenable Banach algebra, that has a bounded left approximate identity but no bounded right approximate identity.

Some details:

$K(l^1)$  = compact operators on  $l^1$

$K(l^1)$  is known to be amenable (Barry Johnson)

$(e_k)$  = the standard basis for  $l^1$ .

For  $N = 1, 2, \dots$ , let

$$\| \| T \| \|_N = \| T \|_{\text{op}} + N \limsup_{k \rightarrow \infty} \| T e_k \|, \quad (T \in K(l^1))$$

$$A_N = (K(l^1), \| \| \cdot \| \|_N)$$

**Defn.:**

$c_R(A) = \inf\{M : A \text{ has a bounded right approximate identity of bound } M\}$ .

$P_n =$  projection onto  $\text{span}\{e_1, e_2, \dots, e_n\}$ ,  $n = 1, 2, \dots$

$(P_n)$  is a bounded left approximate identity for each  $A_N$  of bound 1, but  $c_R(A_N) \geq N + 1$ . So if we set

$$A = c_0 - \bigoplus_N A_N$$

$A$  has a bounded left approximate identity of bound 1, but has no bounded right approximate identity.



And the following is needed:

(**C. J. Read, F. Gh.**, 2010). Suppose that  $(B_n)$  is a sequence of amenable Banach algebras, and there is an  $M > 0$  such that each  $B_n$  has a bounded left approximate identity of bound  $M$ . Then  $\mathcal{B} = c_0 - \bigoplus_n B_n$  is boundedly approximately amenable.

So

**Bdd. approx. amen. is not bdd. approx. contract.**

The algebra  $A$  defined as above has the property :  $A \oplus A^{op}$  is not approximately amenable.

Whereas  $A^\# \oplus A^{op}$  is approximately amenable. So an approximately amenable algebra may contain an ideal of co-dimension 1, that is not approximately amenable.

How about bdd. approx. amen. compared to approx. amen?

(**C. J. Read, F. Gh.**, 2013). There exists an approximately amenable Banach algebra which is not boundedly approximately amenable.

# Ideas of proof

**Step 1.** Let  $A$  be a Banach algebra,  $(e_i)_{i \in I}$  a bounded left approximate identity for  $A$ , and  $(f_j)_{j \in J}$  a r.a.i for  $A$  (not necessarily bounded). Suppose that for every  $i \in I$  and  $j \in J$  there exists  $d_{i,j} \in A \hat{\otimes} A$  with

$$\pi(d_{i,j}) = e_i + f_j - f_j e_i, \quad (\pi(a_1 \otimes a_2) = a_1 a_2)$$

and for each  $a \in A$  we have  $\lim_j \limsup_i \|a \cdot d_{i,j} - d_{i,j} \cdot a\| = 0$ .

Then  $A$  is approximately amenable.

**Step 2.** Suppose that  $(A_n)_{n=1}^{\infty}$  are Banach algebras each of which satisfies the conditions of Step 1, and suppose further that the norms of the b.l.a.'s involved are uniformly bounded. Then the  $c_0$ -direct sum  $\bigoplus_n A_n$  is approximately amenable.

**Defn.** The **bounded approximate amenability constant** (baac) of a boundedly approximately amenable Banach algebra is the infimum of all  $K$ , such that

$$\|a.M_i - M_i.a\| \leq K\|a\|, \quad (a \in A)$$

extended over all  $(M_i) \subset (A^\# \hat{\otimes} A^\#)^{**}$  acting as an approximate diagonal for  $A$ .

We find a sequence  $(A_n)$  of boundedly approximately amenable Banach algebras that satisfies  $\text{baac}(A_n) \geq \frac{n}{2}$ , and the sequence  $(A_n)$  satisfies the hypothesis of the above corollary. Then  $A = c_0 \text{--}\bigoplus_n A_n$  is the desired algebra.

# Open question

Is there an approximately amenable Banach algebra with no two-sided approximate identity?



# Approximate amenability in abstract harmonic analysis

$G$  = a locally compact group

$L^1(G)$  = the group algebra of  $G$

$M(G)$  = the measure algebra of  $G$

(R. J. Loy, F. Gh., 2000)

- (i)  $L^1(G)$  is approximately amenable if and only if  $G$  is amenable.
- (ii)  $M(G)$  is approximately amenable if and only if  $G$  is discrete and amenable.

(Using a result of H. Dales, A. Helemskii, F. Gh., 2000)

- (iii)  $L^1(G)^{**}$  (with 1st or 2nd Arens product) is approximately amenable if and only if  $G$  is finite.

# The Fourier algebra $A(G)$

$$A(G) = \{f * \check{g} : f, g \in L^2(G)\}$$

$$\check{g}(x) = g(x^{-1}) \quad (x \in G)$$

And for  $h \in A(G)$ ,

$$\|h\|_{A(G)} = \inf\{\|f\|_2 \|g\|_2 : h = f * \check{g}, f \in L^2(G), g \in L^2(G)\}$$

(**B. Forrest, V. Runde**, 2004)  $A(G)$  is amenable if and only if  $G$  has an amenable subgroup of finite index.

(**R. Stokke, F. Gh.**, 2005) Suppose that  $G$  is amenable and contains an open abelian subgroup. Then  $A(G)$  is approximately amenable.

(**Y. Choi, F. Gh.**, 2009) Suppose that  $G$  has an open abelian subgroup. Then  $A(G)$  is boundedly approximately amenable, if and only if  $G$  is amenable.

**Corollary.** Suppose that  $G$  is discrete and amenable. Then  $A(G)$  is approximately amenable.

# Example

Let

$$H = \left\{ \begin{bmatrix} 1 & m & n \\ 0 & 1 & p \\ 0 & 0 & 1 \end{bmatrix} : m, n, p \in \mathbb{Z} \right\};$$

the Heisenberg group.

$A(H)$  is approx. amen., but not amen.

# Open question

Suppose that  $G$  is a discrete group. Does approximate amenability of  $A(G) \Rightarrow$  amenability of  $G$ ?

(**Y. Choi, Y. Zhang, F. Gh.**, 2007) If  $\mathbb{F}_2$  is a closed subgroup of  $G$ , then  $A(G)$  is not approximately amenable.

(**Y. Choi, F. Gh**, 2010)  $A(G)^{**}$  (with an Arens product) is boundedly approximately amenable if and only if  $G$  is finite.

This in particular extends a result of E. Granirer, who proved that  $A(G)^{**}$  is amenable if and only if  $G$  is finite

**Open question:** When is  $A(G)^{**}$  approximately amenable?



## Beurling algebras

weight:

$$w : G \longrightarrow (0, \infty)$$

$$w(xy) \leq w(x)w(y) \quad (x, y \in G)$$

$w$  continuous.

$$L^1(G, w) = \{f : fw \in L^1(G)\}$$

$$\|f\|_w = \|wf\|_1$$

$$(f * g)(x) = \int_G f(xy)g(y^{-1}) d(y),$$

$$(f, g \in L^1(G, w), \text{ a.e. } x).$$

# Amenability of Beurling algebras

(**Niels Gronbaek** 1990). Suppose that  $w(e) = 1$ . Then  $L^1(G, w)$  is amenable if and only if

- (i)  $G$  is amenable;
- (ii)  $x \mapsto w(x)w(x^{-1})$  is bounded on  $G$  ( $w$  is diagonally bounded).

An alternative proof of this result in general case (not assuming  $w(e) = 1$ ) was given by (R. Loy, Y. Zhang and F. Ghahramani), in 2004.

**Defn.** A weight on  $G$  is *symmetric* if  $w(x^{-1}) = w(x) \quad \forall x \in G$ .

(**E. Samei, Y. Zhang, F. Gh.**, 2008) Suppose that  $w$  is symmetric. Then

$L^1(G, w)$  is boundedly approximately contractible if and only if  $G$  is amenable and  $w$  is bounded.

$(S(G), \|\cdot\|_S)$  is a Segal subalgebra of  $L^1(G)$  if:

- (i)  $S(G)$  is a dense linear subspace of  $L^1(G)$ ;
- (ii)  $\|\cdot\|_S \geq \|\cdot\|_1$ ;
- (iii)  $S(G)$  is left translation invariant and  $x \mapsto l_x f : G \rightarrow S(G)$  is continuous;
- (iv)  $\|l_x f\|_S = \|f\|_S \quad (f \in S(G)).$

$S(G)$  is symmetric if, additionally, the above conditions hold on the right.

# Example

$$S_{1,p} = L^1(G) \cap L^p(G)$$

$$\|f\| = \|f\|_1 + \|f\|_p \quad (f \in S_{1,p})$$

and convolution product.

If  $G$  is unimodular,  $S_{1,p}$  is a symmetric Segal algebra.

(**Y. Choi, Y. Zhang, F. Gh.**, 2007) A proper symmetric Segal subalgebra of  $L^1(G)$  can never be boundedly approximately amenable.

(**R. Loy, H.G. Dales**, 2010) Specific Segal algebras on  $\mathbb{R}^n, \mathbb{T}^n$  are not approximately amenable.

(**Y. Choi, F. Gh.**, 2009) No non-trivial Segal subalgebra of  $\mathbb{R}^n$  or  $\mathbb{T}^n$  is approximately amenable.

(**M. Alagamandan**, 2015) If  $G$  is a SIN group, then no non-trivial symmetric Segal algebra  $S(G)$  can be approximately amenable.

**Conjecture:** Non-trivial Segal algebras are never approximately amenable.



# Operator algebras

Open question: When is a  $C^*$ - algebra approximately amenable?

(**Y. Choi, Y. Zhang, F. Gh.**, 2008) Let  $\Gamma$  be a discrete group. Then the following are equivalent:

- (i) The full group  $C^*$ -algebra  $C^*(\Gamma)$  of  $\Gamma$  is approximately amenable.
- (ii) The reduced  $C^*$ -algebra  $C_r^*(\Gamma)$  is approximately amenable.
- (iii)  $\Gamma$  is amenable.

# non-self adjoint operator algebras

(**Y. Choi**, and independently, **C. J. Read**, **F. Ghahramani**). There exists a non-self-adjoint operator algebra which is approximately amenable but not amenable.

# Approximate amenability of $K(X)$

$K(X)$  = Compact operators on  $X$

Amenability of  $K(X)$ , for  $X = C(\Omega)$ , where  $\Omega$  is uncountable, compact metrizable space and  $X = l^p$ ,  $1 < p < \infty$  was established by Barry Johnson, Mem. AMS, 1972.

(**N. Grønbaek, B. E. Johnson, G. A. Willis**, 1994). Suppose that  $X = X_1 \oplus X_2$  and  $K(X)$  is amenable. Then at least one of the maps

$$\begin{aligned} \pi_1 & : K(X_1, X) \hat{\otimes} K(X, X_1) \rightarrow K(X) \\ \pi_1(T_1 \otimes T_2) &= T_1 \circ T_2 \quad (T_1 \in K(X_1, X), T_2 \in K(X, X_1)) \end{aligned}$$

or

$$\begin{aligned} \pi_2 & : K(X_2, X) \hat{\otimes} K(X, X_2) \rightarrow K(X) \\ \pi_2(T_1 \otimes T_2) &= T_1 \circ T_2, \quad (T_1 \in K(X_2, K), T_2 \in K(X, X_2)) \end{aligned} \tag{1}$$

is surjective.

A question which was open since 2000:

Is there a Banach space  $X$  for which  $K(X)$  is approximately amenable, but not amenable?

Yes, **C. J. Read, F. Gh.** (2014).

# Theorem

If  $X$  is **fairly close to Hilbert space**, then  $K(X)$  is approximately amenable.

Then, we define  $X_1$  and  $X_2$  such that  $X = X_1 \oplus X_2$  fails the

[Grønback-Johnson-Willis] necessary condition for amenability of  $K(X)$ , and

yet  $X$  is fairly close to Hilbert space.