

# Complex Differential Geometry

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## Complex manifolds

A *complex manifold* of dimension  $m$  is a topological manifold  $(M, \mathcal{U})$ , such that the transition functions  $\phi_U \circ \phi_V^{-1}$  are holomorphic maps between open subsets of  $\mathbb{C}^m$  for every intersecting  $U, V \in \mathcal{U}$ .

- We have a holomorphic atlas (or “we have local complex coordinates on  $M$ .”)

**Remark:** Obviously, a complex manifold of dimension  $m$  is a smooth (real) manifold of dimension  $2m$ . We will denote the underlying real manifold by  $M_{\mathbb{R}}$ .

## Example

Complex projective space  $\mathbb{C}P^m$  - the set of (complex) lines in  $\mathbb{C}^{m+1}$ , i.e. the set of equivalence classes of the relation

$$(z_0, \dots, z_m) \sim (\alpha z_0, \dots, \alpha z_m), \quad \forall \alpha \in \mathbb{C}^*$$

on  $\mathbb{C}^{m+1} - \{0\}$ .

In other words  $\mathbb{C}P^m = (\mathbb{C}^{m+1} - \{0\}) / \sim$ .

The complex charts are defined as for  $\mathbb{R}P^m$ :

$$U_i = \{[z_0, \dots, z_m]; z_i \neq 0\}, \quad i = 1, \dots, m$$

$$\phi_i : U_i \rightarrow \mathbb{C}^m, \quad \phi_i([z_0, \dots, z_m]) = \left( \frac{z_0}{z_i}, \dots, \frac{z_{i-1}}{z_i}, \frac{z_{i+1}}{z_i}, \dots, \frac{z_m}{z_i} \right).$$

## Example

1. Complex Grassmanian  $\text{Gr}_p(\mathbb{C}^m)$  - the set of all  $p$ -dimensional vector subspaces of  $\mathbb{C}^m$ .
2. The torus  $T^2 \simeq S^1 \times S^1$  is a complex manifold of dimension 1.
3. As for smooth manifolds one gets plenty of examples as level sets of submersions  $f : \mathbb{C}^{m+1} \rightarrow \mathbb{C}$ . If  $f$  is holomorphic and  $df$  (the holomorphic differential) does not vanish at any point of  $f^{-1}(c)$ , then  $f^{-1}(c)$  is a holomorphic manifold. For example *Fermat hypersurfaces*:

$$\left( (z_0, \dots, z_m); \sum_{i=0}^m z_i^{d_i} = 1 \right), \quad d_0, \dots, d_m \in \mathbb{N}.$$

4. Similarly, homogeneous  $f$  give complex submanifolds of  $\mathbb{C}P^m$ .
5. Complex Lie groups:  $GL(n, \mathbb{C})$ ,  $O(n, \mathbb{C})$ , etc.

# Almost complex manifolds

A complex manifold of (complex) dimension  $m$  is also a smooth real manifold of (real) dimension  $2m$ . Obviously, the converse is not true, but it turns out that there is a characterisation of complex manifolds among real ones, which is much simpler than the existence of a holomorphic atlas.

- Identifying  $\mathbb{R}^{2n}$  with  $\mathbb{C}^n$  is equivalent to giving a linear map  $j : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$  satisfying  $j^2 = -\text{Id}$ .
- Let  $x \in M$  and let  $(U, \phi_U)$  be a holomorphic chart around  $x$ . Define an endomorphism  $J_U$  of  $T_x M_{\mathbb{R}}$  by  $J_U(X) = \phi_U^{-1} \circ j \circ \phi_U(X)$ .
- $J_U$  does not depend on  $U$ , and so we have an endomorphism  $J_x : T_x M_{\mathbb{R}} \rightarrow T_x M_{\mathbb{R}}$  satisfying  $J_x^2 = -\text{Id}$ .
- The collection of all  $J_x$ ,  $x \in M$ , defines a tensor  $J$  (of type  $(1, 1)$ ), which satisfies  $J^2 = -\text{Id}$  and which is called an *almost complex structure* on  $M_{\mathbb{R}}$ .

## Definition

An *almost complex manifold* is a pair  $(M, J)$ , where  $M$  is a smooth real manifold and  $J : TM \rightarrow TM$  is an almost complex structure.

Thus a complex manifold is an almost complex manifold. The converse is not true, but the existence of complex coordinates follows from vanishing of another tensor.

**Remark:** Obviously, an almost complex manifold has an even dimension, but not every even-dimensional smooth manifold admits an almost complex structure (e.g.  $S^4$  does not).

**Remark:**  $S^6$  admits an almost complex structure, but it is still an open problem, whether it can be made into a complex manifold.

## Definition

A smooth map  $f : (M_1, J_1) \rightarrow (M_2, J_2)$  between two complex manifolds is called *holomorphic* if  $\psi_V \circ f \circ \phi_U^{-1}$  is a holomorphic map between open subsets in  $\mathbb{C}^n$ , for any charts  $(U, \phi_U)$  in  $M_1$  and  $(V, \psi_V)$  in  $M_2$ . This is equivalent to the differential of  $f$  commuting with the complex structures, i.e.  $f_* \circ J_1 = J_2 \circ f_*$ .

## The complexified tangent bundle

Let  $(M, J)$  be an almost complex manifold. Since  $J$  is linear, we can diagonalise it, but only after complexifying the tangent spaces. We define the *complexified tangent bundle*:

$$T^{\mathbb{C}}M = TM \otimes_{\mathbb{R}} \mathbb{C},$$

and we extend all linear endomorphisms and linear differential operators from  $TM$  to  $T^{\mathbb{C}}M$  by  $\mathbb{C}$ -linearity.

Let  $T^{1,0}M$  and  $T^{0,1}M$  denote the  $+i$ - and the  $-i$ -eigenbundle of  $J$ . It is easy to verify the following:

$$T^{1,0}M = \{X - iJX; X \in TM\}, \quad T^{0,1}M = \{X + iJX; X \in TM\},$$

$$T^{\mathbb{C}}M = T^{1,0}M \oplus T^{0,1}M.$$

**Remark**  $-J$  is also an almost complex structure.

$$T^{1,0}(M, -J) = T^{0,1}(M, J).$$

## Holomorphic tangent vectors

Let  $M$  be a complex manifold. Recall that we have three notions of a tangent space of  $M$  at a point  $p$ :  $T_p^{\mathbb{R}}M = TM$  - the real tangent space,  $T_p^{\mathbb{C}}M$  - the complexified tangent space, and  $T_p^{1,0}M$  (resp.  $T_p^{0,1}M$ ) - the *holomorphic tangent space* (resp. *antiholomorphic tangent space*).

Let us choose local complex coordinates  $z = (z_1, \dots, z_n)$  near  $z$ . If we write  $z_i = x_i + \sqrt{-1}y_i$ , then:

$$T_p M = \mathbb{R} \left\{ \frac{\partial}{\partial x_i}, \frac{\partial}{\partial y_i} \right\},$$

$$T_p^{\mathbb{C}}M = \mathbb{C} \left\{ \frac{\partial}{\partial x_i}, \frac{\partial}{\partial y_i} \right\} = \mathbb{C} \left\{ \frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_i} \right\},$$

where

$$\frac{\partial}{\partial z_i} = \frac{1}{2} \left( \frac{\partial}{\partial x_i} - \sqrt{-1} \frac{\partial}{\partial y_i} \right), \quad \frac{\partial}{\partial \bar{z}_i} = \frac{1}{2} \left( \frac{\partial}{\partial x_i} + \sqrt{-1} \frac{\partial}{\partial y_i} \right).$$

Consequently:

$$T_p^{1,0}M = \mathbb{C} \left\{ \frac{\partial}{\partial z_i} \right\}, \quad T_p^{0,1}M = \mathbb{C} \left\{ \frac{\partial}{\partial \bar{z}_i} \right\},$$

and  $T_p^{1,0}M$  (resp.  $T_p^{0,1}M$ ) is the space of derivations which vanish on anti-holomorphic functions (resp. holomorphic functions).

Now observe that:

$$V, W \in \Gamma(T^{1,0}M) \implies [V, W] \in \Gamma(T^{1,0}M),$$

and

$$V, W \in \Gamma(T^{0,1}M) \implies [V, W] \in \Gamma(T^{0,1}M).$$

## The Newlander-Nirenberg Theorem

### Theorem (Newlander-Nirenberg)

Let  $(M, J)$  be an almost complex manifold. The almost complex structure  $J$  comes from a holomorphic atlas if and only if

$$V, W \in \Gamma(T^{0,1}M) \implies [V, W] \in \Gamma(T^{0,1}M).$$

An almost complex structure, which comes from complex coordinates is called a *complex structure*.

**Remark:** An equivalent condition is the vanishing of the *Nijenhuis tensor*:

$$N(X, Y) = [JX, JY] - [X, Y] - J[X, JY] - J[JX, Y].$$

As for the proof: we just have seen the "only if" part. The "if" part is very hard. See Kobayashi & Nomizu for a proof under an additional assumption that  $M$  and  $J$  are real-analytic, and Hörmander's "Introduction to Complex Analysis in Several Variables" for a proof in full generality.

### Definition

A section  $Z$  of  $T^{1,0}M$  is a *holomorphic vector field* if  $Z(f)$  is holomorphic for every locally defined holomorphic function  $f$ .

In local coordinates  $Z = \sum_{i=1}^n g_i \frac{\partial}{\partial z_i}$ , where all  $g_i$  are holomorphic.

### Definition

A real vector field  $X \in \Gamma(TM)$  is called *real holomorphic* if its  $(1,0)$ -component  $X - iJX$  is a holomorphic vector field.

### Lemma

*The following conditions are equivalent:*

- (1)  $X$  is real holomorphic.
- (2)  $X$  is an infinitesimal automorphism of the complex structure  $J$ , i.e.  $L_X J = 0$  ( $L_X$  - the Lie derivative).
- (3) The flow of  $X$  consists of holomorphic transformations of  $M$ .

## The dual picture:

We can decompose the complexified cotangent bundle  $\Lambda^1 M \otimes \mathbb{C}$  into

$$\Lambda^{1,0} M = \{ \omega \in \Lambda^1 M \otimes \mathbb{C}; \omega(Z) = 0 \ \forall Z \in T^{0,1} M \}$$

and

$$\Lambda^{0,1} M = \{ \omega \in \Lambda^1 M \otimes \mathbb{C}; \omega(Z) = 0 \ \forall Z \in T^{1,0} M \}.$$

We have

$$\Lambda^1 M \otimes \mathbb{C} = \Lambda^{1,0} M \oplus \Lambda^{0,1} M.$$

and, consequently, we can decompose the  $k$ -th exterior power of  $\Lambda^1 M \otimes \mathbb{C}$  as

$$\Lambda^k M \otimes \mathbb{C} = \Lambda^k (\Lambda^{1,0} M \oplus \Lambda^{0,1} M) = \bigoplus_{p+q=k} \Lambda^p (\Lambda^{1,0} M) \otimes \Lambda^q (\Lambda^{0,1} M).$$

We write

$$\Lambda^{p,q} M = \Lambda^p (\Lambda^{1,0} M) \otimes \Lambda^q (\Lambda^{0,1} M),$$

so that

$$\Lambda^k M \otimes \mathbb{C} = \bigoplus_{p+q=k} \Lambda^{p,q} M.$$

Sections of  $\Lambda^{p,q} M$  are called forms of type  $(p, q)$  and their space is denoted by  $\Omega^{p,q} M$ . In local coordinates, forms of type  $(p, q)$  are generated by

$$dz_{i_1} \wedge \cdots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge d\bar{z}_{j_q}.$$

**Remark:** The above decomposition of  $\Lambda^k M \otimes \mathbb{C}$  is valid on any almost complex manifold.

The difference between "complex" and "almost complex" lies in the behaviour of the exterior derivative  $d$ .

### Theorem

Let  $(M, J)$  be an almost complex manifold of dimension  $2n$ . The following conditions are equivalent:

- (i)  $J$  is a complex structure.
- (ii)  $d\Omega^{1,0} M \subset \Omega^{2,0} M \oplus \Omega^{1,1} M$ .
- (iii)  $d\Omega^{p,q} M \subset \Omega^{p+1,q} M \oplus \Omega^{p,q+1} M$  for all  $0 \leq p, q \leq m$ .

### Proof.

The only non-trivial bit is (ii)  $\implies$  (i). Use the following elementary formula for the exterior derivative of a 1-form:

$$2d\omega(Z, W) = Z(\omega(W)) - W(\omega(Z)) - \omega([Z, W]).$$

□

Using statement (iii), we decompose the exterior derivative  $d : \Omega^k M \rightarrow \Omega^{k+1} M$  as  $d = \partial + \bar{\partial}$ , where  $\partial : \Omega^{p,q} M \rightarrow \Omega^{p+1,q} M$ ,  $\bar{\partial} : \Omega^{p,q} M \rightarrow \Omega^{p,q+1} M$ .

### Lemma

$$\partial^2 = 0, \quad \bar{\partial}^2 = 0, \quad \partial\bar{\partial} + \bar{\partial}\partial = 0.$$

### Proof.

$0 = d^2 = (\partial + \bar{\partial})^2 = \partial^2 + \bar{\partial}^2 + (\partial\bar{\partial} + \bar{\partial}\partial)$  and the three operators in the last term take values in different subbundles of  $\Lambda^* M \otimes \mathbb{C}$ .

□

## The Dolbeault operator

### Definition

The operator  $\bar{\partial} : \Omega^{p,q} M \rightarrow \Omega^{p,q+1} M$  is called the *Dolbeault operator*. A  $p$ -form  $\omega$  of type  $(p, 0)$  is called holomorphic if  $\bar{\partial}\omega = 0$ .

One uses  $\bar{\partial}$  to define *Dolbeault cohomology groups* of a complex manifold, analogously to the de Rham cohomology:

$$Z_{\bar{\partial}}^{p,q}(M) = \{\omega \in \Omega^{p,q}(M); \bar{\partial}\omega = 0\} \quad - \quad \bar{\partial}\text{-closed forms.}$$

$$H_{\bar{\partial}}^{p,q}(M) = \frac{Z_{\bar{\partial}}^{p,q}(M)}{\bar{\partial}\Omega^{p,q-1}(M)}.$$

A holomorphic map  $f : M \rightarrow N$  between complex manifolds induces a map

$$f^* : H_{\bar{\partial}}^{p,q}(N) \rightarrow H_{\bar{\partial}}^{p,q}(M).$$

**Warning:** Dolbeault cohomology is not a topological invariant: it depends on the complex structure.



The ordinary Poincaré lemma says that every closed form on  $\mathbb{R}^n$  is exact, and, hence, that the de Rham cohomology of  $\mathbb{R}^n$  or a ball vanishes. Analogously:

### Lemma (Dolbeault Lemma)

For  $B$  a ball in  $\mathbb{C}^n$ ,  $H_{\bar{\partial}}^{p,q}(B) = 0$ , if  $p + q > 0$ .

For a proof, see Griffiths and Harris, p. 25.

### Example (Dolbeault cohomology of $\mathbb{P}^1 = \mathbb{C}P^1$ )

First of all  $\Omega^{0,2}(\mathbb{P}^1) = \Omega^{2,0}(\mathbb{P}^1) = 0$ , since  $\dim_{\mathbb{C}} \mathbb{P}^1 = 1$ . Hence  $H_{\bar{\partial}}^{0,2}(\mathbb{P}^1) = H_{\bar{\partial}}^{2,0}(\mathbb{P}^1) = 0$ . Also  $H_{\bar{\partial}}^{0,0}(\mathbb{P}^1) = \mathbb{C}$ .

Secondly,  $\bar{\partial}\Omega^{1,0} = d\Omega^1$ , and, hence  $H_{\bar{\partial}}^{1,1}(\mathbb{P}^1) = \mathbb{C}$ .

Thirdly, if  $\omega \in Z_{\bar{\partial}}^{1,0}(\mathbb{P}^1) = 0$ , then  $d\omega = 0$  and using the vanishing of  $H^1(S^2)$ , we conclude that  $H_{\bar{\partial}}^{1,0}(\mathbb{P}^1) = 0$ . This also shows that there are no global holomorphic forms on  $\mathbb{P}^1$ , i.e.  $Z_{\bar{\partial}}^{1,0}(\mathbb{P}^1) = 0$ .

Finally, we compute  $H_{\bar{\partial}}^{0,1}(\mathbb{P}^1) = 0$ :

## Complex and holomorphic vector bundles

Let  $M$  be a smooth manifold. A (smooth) *complex vector bundle* (of rank  $k$ ) on  $M$  consists of a family of  $\{E_x\}_{x \in M}$  of ( $k$ -dimensional) complex vector spaces parameterised by  $M$ , together with a  $C^\infty$  manifold structure on  $E = \bigcup_{x \in M} E_x$ , such that:

- (1) The projection map  $\pi : E \rightarrow M$ ,  $\pi(E_x) = x$ , is  $C^\infty$ , and
- (2) Any point  $x_0 \in M$  has an open neighbourhood, such that there exists a diffeomorphism

$$\phi_U : \pi^{-1}(U) \longrightarrow U \times \mathbb{C}^k$$

taking the vector space  $E_x$  isomorphically onto  $x \times \mathbb{C}^k$  for each  $x \in U$ .

The map  $\phi_U$  is called a *trivialisation* of  $E$  over  $U$ . The vector spaces  $E_x$  are called the fibres of  $E$ . A vector bundle of rank 1 is called a *line bundle*.

**Examples:** The complexified tangent bundle  $T^{\mathbb{C}}M$ ,  $T^{1,0}M$ ,  $T^{0,1}M$ , bundles  $\Lambda^{p,q}M$ .

For any pair  $\phi_U, \phi_V$  of trivialisations, we have the  $C^\infty$ -map  $g_{UV} : U \cap V \rightarrow GL(k, \mathbb{C})$  given by

$$g_{UV}(x) = (\phi_U \circ \phi_V^{-1})|_{\{x\} \times \mathbb{C}^k}.$$

These *transition functions* satisfy

$$g_{UV}(x)g_{VU}(x) = I, \quad g_{UV}(x)g_{VW}(x)g_{WU}(x) = I.$$

Conversely, given an open cover  $\mathcal{U} = \{U_\alpha\}$  of  $M$  and  $C^\infty$ -maps  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow GL(k, \mathbb{C})$  satisfying these identities, there is a unique complex vector bundle  $E \rightarrow M$  with transition functions  $\{g_{\alpha\beta}\}$ .

All operations on vector spaces induce operations on vector bundles: dual bundle  $E^*$ , direct sum  $E \oplus F$ , tensor product  $E \otimes F$ , exterior powers  $\Lambda^r E$ . The transition functions of these are easy to write down, e.g. if  $E, F$  are vector bundles of rank  $k$  and  $l$  with transition functions  $\{g_{\alpha\beta}\}$  and  $\{h_{\alpha\beta}\}$ , respectively, then the transition functions of  $E \oplus F$  and  $E \otimes F$  are

$$\begin{pmatrix} g_{\alpha\beta}(x) & 0 \\ 0 & h_{\alpha\beta}(x) \end{pmatrix} \in GL(\mathbb{C}^k \oplus \mathbb{C}^l), \quad g_{\alpha\beta}(x) \otimes h_{\alpha\beta}(x) \in GL(\mathbb{C}^k \otimes \mathbb{C}^l).$$

An important example is the *determinant bundle*  $\Lambda^k E$  of  $E$  ( $k = \text{rank } E$ ). It is a line bundle with transition functions

$$\det g_{\alpha\beta}(x) \in GL(1, \mathbb{C}) = \mathbb{C}^*.$$

A *subbundle*  $F \subset E$  of a vector bundle  $E$  is a collection  $\{F_x \subset E_x\}_{x \in M}$  of subspaces of the fibres  $E_x$  such that  $F = \bigcup_{x \in M} F_x$  is a submanifold of  $E$ . This means that there are trivialisations of  $E$ , relative to which the transition functions look like

$$g_{UV}(x) = \begin{pmatrix} h_{UV}(x) & k_{UV}(x) \\ 0 & j_{UV}(x) \end{pmatrix}.$$

The bundle  $F$  has transition functions  $h_{UV}$ , while  $j_{UV}$  are transition functions of the quotient bundle  $E/F$ , given by  $E_x/F_x$ .

A homomorphism between vector bundles  $E$  and  $F$  on  $M$  is given by a  $C^\infty$ -map  $f : E \rightarrow F$ , such that  $f_x = f|_{E_x} : E_x \rightarrow F_x$  and  $f_x$  is linear. We have the obvious notions of  $\ker(f)$  - a subbundle of  $E$ , and  $\text{Im}(f)$  - a subbundle of  $F$ . Also,  $f$  is an isomorphism, if each  $f_x$  is an isomorphism.

A vector bundle  $E$  on  $M$  is *trivial*, if  $E$  is isomorphic to the product bundle  $M \times \mathbb{C}^k$ .

Given a  $C^\infty$ -map  $f : M \rightarrow N$  and a vector bundle  $E \xrightarrow{\pi} N$ , we define the *pullback bundle*  $f^*E$  on  $M$  by

$$f^*E = \{(x, e) \in M \times E; f(x) = \pi(e)\},$$

i.e.  $(f^*E)_x = E_{f(x)}$ .

Finally, a *section*  $s$  of a vector bundle  $E \rightarrow M$  is a  $C^\infty$ -map  $s : M \rightarrow E$  such that  $s(x) \in E_x$  for all  $x \in M$  (just like a vector field). The space of sections is denoted by  $\Gamma(E)$ .

Observe that trivialising a rank  $k$  bundle  $E$  over  $U$  is equivalent to giving  $k$  sections  $s_1, \dots, s_k$ , which are linearly independent at every point of  $U$ . Such a collection  $(s_1, \dots, s_k)$  is called a *frame* for  $E$  over  $U$ .

Now, let  $M$  be a complex manifold. A *holomorphic vector bundle*  $E \xrightarrow{\pi} M$  is defined as a complex vector bundle, except that  $C^\infty$  is replaced by “holomorphic” everywhere.

**Examples:**  $T^{1,0}M$ ,  $\Lambda^{p,0}M$  (but not  $\Lambda^{p,q}M$  if  $q \neq 0$ ). The line bundle  $\Lambda^{n,0}M$ ,  $n = \dim_{\mathbb{C}} M$  is called the *canonical bundle* of  $M$ , and is denoted by  $K_M$ . Its sections are holomorphic  $n$ -forms. The dual  $K_M^* = \Lambda^n(T^{1,0}M)$  is the *anti-canonical bundle*.

### Example (The tautological and canonical bundles on $\mathbb{C}P^n$ )

The tautological line bundle  $J \rightarrow \mathbb{C}P^n$  is defined by setting  $J_A = A$ , where  $A$  is a line in  $\mathbb{C}^{n+1}$  defining a point of  $\mathbb{C}P^n$ . We have  $K_{\mathbb{C}P^n} = J^{n+1}$ .

For every holomorphic bundle  $E \rightarrow M$  we define the bundles  $\Lambda^{p,q}E = \Lambda^{p,q}M \otimes E$  of  $E$ -valued forms of type  $(p, q)$ . The space of sections is denoted by  $\Omega^{p,q}E$ . If we choose a trivialisation of  $E$ , i.e. a local frame, then an element  $\sigma$  of  $\Omega^{p,q}E$  is, in this trivialisation,  $\sigma = (\omega_1, \dots, \omega_k)$ ,  $\omega_i$  - a local  $(p, q)$ -form on  $M$ . Now observe that we have a well-defined operator  $\bar{\partial} : \Omega^{p,q}E \rightarrow \Omega^{p,q+1}E$ , given by:

$$\bar{\partial}\sigma = (\bar{\partial}\omega_1, \dots, \bar{\partial}\omega_k)$$

in any trivialisation.

Note that  $\bar{\partial}$  satisfies  $\bar{\partial}^2 = 0$  and the Leibniz rule  $\bar{\partial}(f\sigma) = \bar{\partial}f \otimes \sigma + f(\bar{\partial}\sigma)$ , for any  $f \in C^\infty(M)$  and  $\sigma \in \Omega^{p,q}E$ .

The existence of a such a natural operator  $\bar{\partial}$  on sections of  $E = \Lambda^{0,0}E$  is a remarkable property of holomorphic vector bundles. On a general complex vector bundle there is no canonical way of differentiating sections. It has to be introduced ad hoc, via the concept of *connection*:

# Connections and their curvature

## Definition

A connection (or *covariant derivative*) on a complex vector bundle  $E \rightarrow M$  is a map  $D : \Gamma(E) \rightarrow \Omega^1 E$  satisfying the Leibniz rule:

$$D(fs) = (df) \otimes s + f(Ds),$$

for any  $f \in C^\infty(M)$  and  $s \in \Gamma(E)$ .

If we choose a frame (local basis)  $(e_1, \dots, e_k)$  for  $E$  over  $U$ , then

$$De_i = \sum_j \theta_{ij} e_j,$$

where the matrix of 1-forms is called the *connection matrix* with respect to  $e$ .

The data  $e$  and  $\theta$  determine  $D$ . The connection matrix depends on the choice of  $e$ : if  $(e'_1, \dots, e'_k)$  is another frame with  $e'(z) = g(z)e(z)$ ,  $g(z) \in GL(k, \mathbb{C})$ , then:

$$\theta_{e'} = g\theta_e g^{-1} + dg g^{-1}.$$

## Curvature

One can extend any connection  $D$  to act on  $\Omega^p E$ , i.e. on  $E$ -valued  $p$ -forms, by forcing the Leibniz rule:

$$D(\omega \otimes \sigma) = d\omega \otimes \sigma + (-1)^p \omega \wedge D\sigma, \quad \forall \omega \in \Omega^p M, \sigma \in \Gamma(E).$$

In particular, we have the operator  $D^2 : \Gamma(E) \rightarrow \Omega^2 E$ . It is tensorial, i.e. linear over  $C^\infty(M)$ :

$$D^2(f\sigma) = D(df \otimes \sigma + f(D\sigma)) = -df \wedge D\sigma + df \wedge D\sigma + fD^2\sigma = fD^2\sigma.$$

Consequently,  $D^2$  is induced by a bundle map  $E \rightarrow \Lambda^2 M \otimes E$ , i.e. by a section of  $\Lambda^2 M \otimes \text{Hom}(E, E)$ . This  $\text{Hom}(E, E)$ -valued 2-form  $R^D$  is called the *curvature* of the connection  $D$ .

**The curvature matrix.** In a local frame, we had  $De_i = \sum_j \theta_{ij} e_j$ , and, hence:

$$D^2 e_i = D\left(\sum_j \theta_{ij} e_j\right) = \sum_j \left(d\theta_{ij} - \sum_p \theta_{ip} \wedge \theta_{pj}\right) \otimes e_j.$$

Therefore, we get the *Cartan structure equations* for the curvature matrix with respect to a frame  $e$ :

$$\Theta_e = d\theta_e - \theta_e \wedge \theta_e.$$

## Definition

Let  $E \rightarrow M$  be a complex vector bundle. A *hermitian metric* on  $E$  is a smoothly varying hermitian inner product on each fibre  $E_x$ , i.e. if  $\sigma = (s_1, \dots, s_k)$  is a frame for  $E$ , then the functions  $h_{ij}(x) = \langle s_i(x), s_j(x) \rangle$  are  $C^\infty$ .

A complex vector bundle equipped with a hermitian metric is called a *hermitian vector bundle*.

**Example:**  $E = T^{1,0}M$  - a hermitian metric on  $M$ .

On a hermitian vector bundle over a complex manifold, we have a canonical connection  $D$  by requiring:

- $D$  is compatible with the metric, i.e.

$$d\langle s_1, s_2 \rangle = \langle Ds_1, s_2 \rangle + \langle s_1, Ds_2 \rangle,$$

i.e. the metric is *parallel* for  $D$ , and

- $D$  is compatible with the complex structure, i.e. if we write  $D = D^{1,0} + D^{0,1}$  with  $D^{1,0} : \Gamma(E) \rightarrow \Omega^{1,0}E$  and  $D^{0,1} : \Gamma(E) \rightarrow \Omega^{0,1}E$ , then  $D^{0,1} = \bar{\partial}$ .

## Theorem

If  $E \rightarrow M$  is a hermitian vector bundle over a complex manifold, then there is unique connection  $D$  (called the Chern connection) compatible with both the metric and the complex structure.

## Proof.

Let  $(e_1, \dots, e_k)$  be a holomorphic frame for  $E$  and put  $h_{ij} = \langle e_i, e_j \rangle$ . If  $D$  is compatible with the complex structure, then  $De_i$  is of type  $(1, 0)$ , and, as  $D$  is compatible with the metric:

$$dh_{ij} = \langle De_i, e_j \rangle + \langle e_i, De_j \rangle = \sum_p \theta_{ip} h_{pj} + \sum_p \bar{\theta}_{pj} h_{ip}.$$

The first term is of type  $(1, 0)$  and the second one of type  $(0, 1)$  and, hence:

$$\partial h_{ij} = \sum_p \theta_{ip} h_{pj}, \quad \bar{\partial} h_{ij} = \sum_p \bar{\theta}_{pj} h_{ip}.$$

Therefore  $\partial h = \theta h$  and  $\bar{\partial} h = h \bar{\theta}^T$  and  $\theta = \partial h h^{-1}$  is the unique solution to both equations. □

**Remark on the curvature of the Chern connection.** If  $D$  is compatible with the complex structure, then the  $(0, 2)$ -component of the curvature vanishes:

$$R^{0,2} = D^{0,1} \circ D^{0,1} = \bar{\partial}^2 = 0.$$

If  $D$  is also compatible with the hermitian metric, then the  $(2, 0)$ -component vanishes as well, since

$$0 = d^2 \langle e_i, e_j \rangle = \langle D^2 e_i, e_j \rangle + 2 \langle D e_i, D e_j \rangle + \langle e_i, D^2 e_j \rangle$$

and so the  $(2, 0)$ -component of  $D^2$  is the negative hermitian transpose of the  $(0, 2)$ -component.

*Thus, the curvature of the Chern connection is of type  $(1, 1)$ .*

## Curvature of a Chern connection in a holomorphic frame

Recall that the curvature matrix of a connection  $D$  with respect to any frame  $e = (e_1, \dots, e_n)$  is given by

$$\Theta_e = d\theta_e - \theta_e \wedge \theta_e = d\theta_e - [\theta_e, \theta_e],$$

where  $\theta_e$  is the connection matrix w.r.t.  $e$ .

If  $D$  is the Chern connection of a hermitian holomorphic vector bundle, and  $e$  is a holomorphic frame, then we computed:  $\theta_e = \partial h h^{-1}$ , where  $h_{ij} = \langle e_i, e_j \rangle$ . We compute  $d\theta_e$ :

$$(\partial + \bar{\partial})\theta_e = \bar{\partial}\theta_e + \partial(\partial h h^{-1}) = \bar{\partial}\theta_e - \partial h \wedge \partial(h^{-1}) = \bar{\partial}\theta_e + \partial h h^{-1} \wedge \partial h h^{-1}.$$

Therefore, the curvature matrix of the Chern connection w.r.t. a holomorphic frame is given by

$$\Theta = \bar{\partial}\theta.$$

In the case of a line bundle, a local non-vanishing holomorphic section  $s$  and  $h = \langle s, s \rangle$ :

$$\theta = \partial \log h, \quad \Theta = \bar{\partial} \partial \log h.$$

## Example (Curvature of the tautological bundle of $\mathbb{C}P^n$ )

$J$  is a subbundle of the trivial bundle  $\mathbb{P}^n \times \mathbb{C}^{n+1}$ :

$$J = \{I \times z \in \mathbb{P}^n \times \mathbb{C}^{n+1}; z \in I\}.$$

We have a hermitian metric on  $\mathbb{P}^n \times \mathbb{C}^{n+1}$  given by the standard hermitian inner product on  $\mathbb{C}^{n+1}$ . It induces a hermitian metric on the line bundle  $J$ . We compute the curvature of the associated Chern connection in the patch  $U_0$  ( $z_0 \neq 0$ ), in which  $J$  is trivialised via  $\phi : \mathbb{C}^n \times \mathbb{C} \rightarrow J$ :

$$((z_1, \dots, z_n), u) \mapsto u(1, z_1, \dots, z_n).$$

We have a non-vanishing section  $s(z_1, \dots, z_n) = (1, z_1, \dots, z_n)$ , and, consequently,  $h = \langle s, s \rangle = 1 + \sum_{i=1}^n |z_i|^2$ . Thus, the curvature is

$$\bar{\partial}\partial \log h = \sum_{i=1}^n \frac{1 + \sum_{r \neq i} |z_r|^2}{(1 + \sum_{r=1}^n |z_r|^2)^2} d\bar{z}_i \wedge dz_i.$$

## Hermitian metrics on complex manifolds

We now look at the particular case  $E = T^{1,0}M$  ( $M$  - a complex manifold). A choice of holomorphic frame is given by a choice of local holomorphic coordinates  $z_1, \dots, z_n$  ( $e_i = \frac{\partial}{\partial z_i}$ ), and a hermitian metric on  $T^{1,0}M$  can be written as

$$g = \sum_{i,j} h_{ij} dz_i \otimes d\bar{z}_j.$$

where  $h_{ij}$  is hermitian matrix,  $h^* = h$ . Notice that this also defines a Hermitian metric on  $T^{\mathbb{C}}M$ , and by restriction a  $\mathbb{C}$ -valued hermitian metric on  $TM$ .

Its real part is a real-valued symmetric bilinear form, which we also denote by  $g$ , and refer to as *hermitian metric on  $M$* . Observe that  $g(JX, JY) = g(X, Y) \forall X, Y \in T_x M$ .

The negative of the imaginary part is a skew-symmetric bilinear form  $\omega$  called the *fundamental form* of  $g$ . We have  $\omega(X, Y) = g(JX, Y)$ , and in local coordinates:

$$g = \frac{1}{2} \operatorname{Re} \sum_{i,j} h_{ij} dz_i d\bar{z}_j,$$

$$\omega = \frac{\sqrt{-1}}{2} \sum_{i,j} h_{ij} dz_i \wedge d\bar{z}_j.$$

Observe that  $\omega \in \Omega^{1,1}M$ .

### Example (Connection and curvature in dimension one)

$z = x + iy$  - a local coordinate,  $\frac{\partial}{\partial z}$  - a local holomorphic frame, and a hermitian metric on  $T^{1,0}M$  is written as  $h dz \otimes d\bar{z}$ , for a local function  $h > 0$ . The connection matrix of the Chern matrix is  $\partial h h^{-1} = \frac{\partial \log h}{\partial z} dz$ , and the curvature matrix is

$$\Theta = \bar{\partial} \partial \log h = \frac{\partial^2 \log h}{\partial \bar{z} \partial z} d\bar{z} \wedge dz = \left(-\frac{1}{4} \Delta \log h\right) dz \wedge d\bar{z}.$$

Now, the fundamental form on  $M$  is  $\frac{\sqrt{-1}}{2} h dz \wedge d\bar{z}$  and hence,

$$\Theta = -\sqrt{-1} K \omega,$$

where  $K = (-\Delta \log h)/2h$  is the usual Gaussian curvature of a surface.

Recall the different behaviour of positively and negatively curved surfaces. Similarly, we say that the curvature  $R_E \in \Gamma(\Lambda^{1,1}M \otimes \text{Hom}(E, E))$  of a Chern connection on a hermitian vector bundle is *positive* at  $x \in M$  (notation  $R_E(x) > 0$ ), if the hermitian matrix  $R_E(x)(v, \bar{v}) \in \text{Hom}(E_x, E_x)$  is positive definite. In local coordinates, this means that  $\Theta(x)(v, \bar{v})$  is positive definite  $\forall v$ , e.g. for surfaces with positive Gaussian curvature.

Similarly  $R_E(x) \geq 0$ ,  $R_E(x) \leq 0$ ,  $R_E(x) < 0$ . We write  $R_E > 0$  etc. if the curvature is positive everywhere. Observe that the curvature of the tautological bundle  $J_{\mathbb{P}^n}$  (with induced metric) is negative.

Let us compute the curvature  $R_F$  of the Chern connection of a holomorphic subbundle  $F \subset E$  (with the induced hermitian metric). Let  $N = F^\perp$ . This is a  $C^\infty$  complex subbundle of  $E$ .

If  $s \in \Gamma(F)$  and  $t \in \Gamma(N)$ , then:

$$0 = d\langle s, t \rangle = \langle Ds, t \rangle + \langle s, Dt \rangle,$$

so that in a frame for  $E$  consisting of frames for  $F$  and  $N$ , the connection matrix of  $D = D_E$  is



$$\theta_E = \begin{pmatrix} \theta_F & A \\ -A^* & \theta_N \end{pmatrix}.$$

Moreover, if the frame on  $F$  is holomorphic, then  $A$  (matrix of 1-forms) is of type  $(1,0)$ . Now, the curvature matrix is

$$\Theta_E = d\theta_E - \theta_E \wedge \theta_E = \begin{pmatrix} d\theta_F - \theta_F \wedge \theta_F + A \wedge A^* & * \\ * & * \end{pmatrix},$$

and so  $\Theta_F = \Theta_E|_F - A \wedge A^*$ . Therefore

$$R_F \leq R_E|_F,$$

so that *the curvature decreases in holomorphic subbundles*. In particular, if  $E$  is a trivial bundle with Euclidean metric (so that  $R^D \equiv 0$ ) and  $F \subset E$  is a holomorphic subbundle with the induced metric, then  $R_F^D \leq 0$ .

Applying this to a submanifold  $M$  of  $\mathbb{C}^n$  and  $F = T^{1,0}M \subset T^{1,0}\mathbb{C}^n|_M$  with the induced hermitian metric, we see that the curvature of  $T^{1,0}M$  is always non-positive. In particular, if  $M$  is a Riemann surface, then its Gaussian curvature  $K \leq 0$ .

Observe that the same calculation for the quotient bundle  $Q = E/F$  and conclude that

$$R_Q \geq R_E|_F,$$

i.e. the curvature increases in holomorphic quotient bundles.

As an application, consider a holomorphic vector bundle  $E \rightarrow M$  “spanned by its sections”, i.e. there exist holomorphic sections  $s_1, \dots, s_k \in \Gamma(E)$ , such that  $s_1(x), \dots, s_k(x)$ ,  $k \geq \text{rank } E$ , generate  $E_x$  for every  $x \in M$ . Then we have a surjective map  $M \times \mathbb{C}^k \rightarrow E$ ,  $(x, \lambda) \mapsto \sum_{i=1}^k \lambda_i s_i(x)$ .

Thus,  $E$  is a quotient bundle of a trivial bundle and, if we give  $E$  the metric induced from the Euclidean metric on  $M \times \mathbb{C}^k$ , then  $R_Q \geq 0$ .

# The first Chern class

We go back to a non-holomorphic setting and consider complex vector bundles over smooth manifolds. We equip such a bundle  $E \rightarrow M$  with a connection  $D$ . Recall that the curvature  $R^D$  of  $D$  is a section of  $\Lambda^2 M \otimes \text{Hom}(E, E)$ , so basically a matrix of 2-forms. We can speak of the trace of the curvature:  $\text{tr } R^D$ , which is a 2-form.

Recall the formula for the curvature matrix in a local frame:

$\Theta = d\theta - [\theta, \theta]$ . It follows that  $\text{tr } \Theta = \text{tr } d\theta = d \text{tr } \theta$  and, hence,  $\text{tr } R^D$  is a *closed* 2-form. It is called the *Ricci form* of  $D$ .

## Lemma

The cohomology class  $[\text{tr } R^D] \in H_{\text{DR}}^2(M)$  does not depend on  $D$ .

## Proof.

$A = D - D'$  is a section of  $\Lambda^1 M \otimes \text{Hom}(E, E)$ , so  $\text{tr } R^D - \text{tr } R^{D'} = d \text{tr } A$ , which is an exact form.  $\square$

The cohomology class  $c_1(E) = \frac{\sqrt{-1}}{2\pi} [\text{tr } R^D] \in H_{\text{DR}}^2(M)$  is called the *1st Chern class* of  $E$ . It is a topological invariant.

## Example

We compute  $c_1(J_{\mathbb{P}^1})$ . Recall that for the Chern connection induced from  $\mathbb{P}^1 \times \mathbb{C}^2$ , we computed the curvature matrix in the chart  $U_0$  with holomorphic coordinate  $z$  as

$$\frac{1}{(1 + |z|^2)^2} d\bar{z} \wedge dz.$$

Now,  $H_{\text{DR}}^2(\mathbb{P}^1)$  is identified with  $\mathbb{C}$  via integration:  $\omega \mapsto \int_{\mathbb{P}^1} \omega$ . Thus

$$c_1(J_{\mathbb{P}^1}) = \frac{\sqrt{-1}}{2\pi} \int_{\mathbb{C}} \frac{1}{(1 + |z|^2)^2} d\bar{z} \wedge dz = \frac{1}{2\pi} \int_{[0, 2\pi] \times [0, \infty)} \frac{r}{(1 + r^2)^2} d\theta \wedge dr,$$

where  $z = re^{i\theta}$ . Taking the orientation into account we obtain

$$c_1(J_{\mathbb{P}^1}) = \frac{-1}{2\pi} \int_0^{+\infty} \int_0^{2\pi} \frac{r}{(1 + r^2)^2} d\theta dr = -1.$$

It follows from this that  $c_1(J_{\mathbb{P}^n}) = -1$  for any  $n$ .

Let  $E$  and  $F$  be bundles of ranks  $m$  and  $n$ , respectively. Then:

- (i)  $c_1(\Lambda^m E) = c_1(E)$ ,
- (ii)  $c_1(E \oplus F) = c_1(E) + c_1(F)$ ,
- (iii)  $c_1(E \otimes F) = nc_1(E) + mc_1(F)$ ,
- (iv)  $c_1(E^*) = -c_1(E)$ ,
- (v)  $c_1(f^* E) = f^* c_1(E)$ .

Let  $M$  be a complex manifold. The first Chern class  $c_1(M)$  of  $M$  is  $c_1(T^{1,0}M) = c_1(K^*)$ , i.e. the first Chern class of the anti-canonical bundle of  $M$ .

### Example

$$c_1(\mathbb{P}^n) = c_1(K^*) = c_1\left((\mathcal{J}^*)^{\otimes(n+1)}\right) = (n+1)c_1(\mathcal{J}^*) = n+1.$$

For  $n = 1$ , we get  $c_1(\mathbb{P}^2) = 2$ .

This is just the Gauss-Bonnet theorem: for any hermitian metric on a compact surface  $S$  :

$$c_1(S) = \frac{1}{2\pi} \int_S K \omega = \chi(S).$$

### Examples of manifolds with $c_1(M) = 0$ :

Observe that  $c_1(M) = 0$ , if the canonical bundle  $K_M$  is trivial, i.e. there exists a non-vanishing holomorphic  $n$ -form on  $M$  ( $n = \dim_{\mathbb{C}} M$ ).

- $\mathbb{C}^n$ . Other boring examples include any  $M$  with  $H^2(M) = 0$ .
- Quotients of  $\mathbb{C}^n$  by finite groups of holomorphic isometries, e.g by lattices: abelian varieties (complex tori).
- The quadric  $Q = \{(z_1, z_2, z_3) \in \mathbb{C}^3; z_1^2 + z_2^2 + z_3^2 = 1\}$ . This is a complexification of  $S^2$  and so  $H^2(Q) \neq 0$ . The following holomorphic 2-form is non-vanishing on  $Q$  and trivialises  $K_Q$ :

$$z_1 dz_2 \wedge dz_3 + z_2 dz_3 \wedge dz_1 + z_3 dz_1 \wedge dz_2.$$

- The famous K3-surface (one of them, anyway):

$$S = \{[z_0, z_1, z_2, z_3] \in \mathbb{P}^3; z_0^4 + z_1^4 + z_2^4 + z_3^4 = 0\}.$$

We'll show how to compute  $c_1$  of hypersurfaces. Let  $V \subset M$  be a complex submanifold of dimension 1. We have the exact sequence of holomorphic vector bundles over  $V$ :

$$0 \rightarrow N_V^* \rightarrow \Lambda^{1,0} M|_V \rightarrow \Lambda^{1,0} V \rightarrow 0.$$

Taking the maximal exterior power gives:  $K_{M|_V} \simeq K_V \otimes N_V^*$ , so

$$K_V = K_{M|_V} \otimes N_V.$$

Now, observe that, if  $f = 0$  is a local (say, on  $U \subset V$ ) defining equation for  $V$ , then, by definition,  $df$  generates  $N_V^*$  over  $U$ .

If, on  $U' \subset V$ , the equation of  $V$  is  $f' = 0$ , then on  $U \cap U'$ :

$$df = d\left(\frac{f}{f'} f'\right) = d\left(\frac{f}{f'}\right) f' + \frac{f}{f'} df' = \frac{f}{f'} df',$$

so the transition functions for  $N_V^*$  are  $f/f'$ .

We can now easily finish the computation for K3:  $N_S^* \simeq K_{\mathbb{P}^3}|_S$ .

## Higher Chern classes

The first Chern class of a complex vector bundle was defined using the trace of the curvature  $R^D \in \Gamma(\Lambda^2 M \otimes \text{Hom}(E, E))$ . We can use other invariant polynomials defined on matrices, e.g. the determinant or the sum of the squares of eigenvalues.

For a  $k \times k$  matrix  $M$ , let us write

$$\det(t + M) = \sum_{i=0}^k P_i(M) t^{k-i}.$$

so that  $P_1(M) = \text{tr } M$ ,  $P_k(M) = \det M$ , etc. Each  $P_i$  is a homogeneous polynomial of degree  $k$  in the entries of  $A$ .

We can allow matrices over any commutative algebra - the  $P_i(M)$  are well defined - in particular over *the even part of the exterior algebra of a smooth manifold*. Applying this to  $R^D$  or its curvature matrix, we obtain closed forms  $P_i(R^D) = P_i(\Theta) \in \Omega^{2i} M$ .

## Definition

The  $i$ -th Chern class of a complex vector bundle  $E$  over a smooth manifold  $M$  is the cohomology class

$$c_i(E) = \left[ P_i \left( \frac{\sqrt{-1}}{2\pi} R^D \right) \right] \in H_{\text{DR}}^{2i}(M), \quad i = 1, \dots, k.$$

Once again, it does not depend on the connection  $D$  (exercise). Also, for a complex manifold  $M$ , we define the Chern classes of  $M$  to be the Chern classes of  $T^{1,0}M$ .

The Gauss-Bonnet theorem generalise to higher dimensions as follows: if  $M$  is a compact complex manifold of dimension  $n$ , then

$$c_n(M) = \chi(M).$$

There are of course purely topological ways of defining Chern classes!

## Chern classes of a holomorphic bundles

Now  $E$  is hermitian holomorphic vector bundle over a complex manifold  $M$ . Recall that the curvature of a Chern connection  $D$  is of type  $(1, 1)$  and that its matrix is skew-hermitian, so that  $P_i \left( \frac{\sqrt{-1}}{2\pi} R^D \right)$  is a real  $(i, i)$ -form, and consequently, for any holomorphic bundle

$$c_i(E) \in H^{i,i}(M) \cap H_{\text{DR}}^{2i}(M; \mathbb{R}).$$

Recall also that we defined notions of the curvature being  $> 0, < 0, \geq 0, \leq 0$ . For example, nonnegativity meant that  $R^D(v, \bar{v})$  has all eigenvalues nonnegative, for any  $v \in T^{1,0}M$ . This means that

$$P_i(R^D)(v_1, \bar{v}_1, \dots, v_i, \bar{v}_i) \geq 0 \quad \forall v_1, \dots, v_i \in T^{1,0}M,$$

and consequently that

$$\int_Z c_i(E) \geq 0$$

for any  $i$ -dimensional complex submanifold  $Z$  of  $M$ . In particular, this holds for any holomorphic vector bundle generated by its sections. Note that these conditions depend only on  $c_i(E)$ , not on the connection or its curvature.

# Prescribing the Ricci curvature of Chern connections

Thus, we say that  $c_1(E) \geq 0$  (resp.  $> 0, < 0, \leq 0$ ), if the cohomology class  $c_1(E) \in H^{1,1}(M)$  can be locally represented by a closed real 2-form  $\phi$ , such that in local complex coordinates

$$\phi = \frac{\sqrt{-1}}{2\pi} \sum \phi_{\alpha\bar{\beta}} dz_\alpha \wedge d\bar{z}_\beta,$$

and the hermitian matrix  $[\phi_{\alpha\bar{\beta}}]$  has all eigenvalues nonnegative (resp. positive, negative, nonpositive).

E.g., any holomorphic vector bundle generated by its sections satisfies  $c_1(E) \geq 0$ .

Let now a closed real  $(1, 1)$ -form  $\phi$  represent  $c_1(E)$ . We ask

**Is there a connection  $D$  on  $E$  such that  $\text{tr } R^D = -2\pi\sqrt{-1}\phi$ ?**

For example, suppose that  $c_1(E) = 0$ . It is clearly represented by  $\phi \equiv 0$  and we would like to know whether there is a hermitian metric, such that the associated Chern connection is Ricci-flat (i.e.  $\text{tr } R^D \equiv 0$ ).

Let  $\langle, \rangle$  be a hermitian metric on  $E$ . Recall that in a local holomorphic frame  $(e_1, \dots, e_n)$  with the associated matrix  $h_{ij} = \langle e_i, e_j \rangle$ , we had the following formula for  $R^D$ :

$$\Theta = \bar{\partial}(\partial h h^{-1}).$$

Therefore, the Ricci form  $\text{tr } R^D$  is represented in this local frame by

$$\bar{\partial}\partial(\log \det h).$$

Now we modify  $\langle, \rangle$  by taking  $e^{f/k}\langle, \rangle$ , where  $k = \text{rank } E$  and  $f$  is a smooth real function on  $M$ . Let  $h'_{ij} = e^{f/k}\langle e_i, e_j \rangle$ . Then  $\det h' = e^f \det h$  and the Ricci forms of the two Chern connections are related by

$$\text{tr } R^{D'} - \text{tr } R^D = \bar{\partial}\partial f.$$

Therefore we find a hermitian metric with  $\text{tr } R^{D'} = \phi$ , providing we can solve the equation

$$\bar{\partial}\partial f = \phi - \text{tr } R^D.$$

The right-hand side is a closed imaginary  $(1, 1)$ -form cohomologous to 0:  $[\phi - \text{tr } R^D] = -2\pi\sqrt{-1}(c_1(E) - c_1(E))$ .

Therefore the answer to our question: can we find a hermitian metric on  $E$ , the Ricci form of which is a given form  $\phi$ , is **yes** on manifolds  $M$  for which the following condition (called *the global  $\partial\bar{\partial}$ -lemma*) holds:

- Any exact real  $(1, 1)$ -form  $\beta$  on  $M$  is of the form  $\sqrt{-1}\bar{\partial}\partial f$ , for a smooth function  $f : M \rightarrow \mathbb{R}$ .

### Lemma

Let  $M$  be a complex manifold with  $H^{0,1}(M) = 0$ . Then the global  $\partial\bar{\partial}$ -lemma holds on  $M$ .

**Proof.** Since  $\beta$  is exact, there exists a real 1-form  $\alpha$  such that  $d\alpha = \beta$ . We decompose  $\alpha$  as  $\tau + \tau'$ , where  $\tau$  is  $(1, 0)$  and  $\tau'$  is  $(0, 1)$ . We must have  $\tau' = \bar{\tau}$ .

Now,  $\beta = (\partial + \bar{\partial})(\tau + \bar{\tau}) = \partial\tau + (\bar{\partial}\tau + \partial\bar{\tau}) + \bar{\partial}\bar{\tau}$ . Comparing the types, we get:

$$\beta = \bar{\partial}\tau + \partial\bar{\tau}, \quad \partial\tau = 0, \quad \bar{\partial}\bar{\tau} = 0.$$

Since  $H^{0,1}(M) = 0$ , there exists a function  $u : M \rightarrow \mathbb{C}$  such that  $\bar{\tau} = \bar{\partial}u$ . Therefore  $\tau = \partial\bar{u}$ , and  $\beta = \bar{\partial}\tau + \partial\bar{\tau} = \bar{\partial}\partial\bar{u} + \partial\bar{\partial}u = \partial\bar{\partial}(u - \bar{u}) = 2i\partial\bar{\partial}(\text{Im } u)$ .

The result follows with  $f = 2 \text{Im } u$ . □

## Kähler metrics

We now consider the case  $E = TM$ , where  $M$  is a complex manifold with a complex structure  $J$ .  $TM$  is a complex vector bundle -  $J$  allows us to identify  $T_x M$  with  $\mathbb{C}^n$ , for every  $n$ . In other words we identify

$$TM \simeq T^{1,0}M, \quad X \longmapsto X - iJX.$$

Recall that a hermitian metric on  $M$  is a smoothly varying inner product  $g$  on each  $T_x M$ , such that  $g(JX, JY) = g(X, Y)$  for all  $X, Y \in T_x M$ .

We will now consider connections on  $TM$ . First, of all observe, that for such a connection  $D : \Gamma(TM) \rightarrow \Omega^1(M) \otimes \Gamma(TM)$ , the (covariant) directional derivative  $D_Z(X) := D(X)(Z)$  of a vector field is again a vector field.

We now reinterpret the two properties defining the Chern connection  $D$  of  $g$ :

1.  $D$  being metric, i.e.  $dg(X, Y) = g(DX, Y) + g(X, DY)$ , is equivalent to

$$Z.g(X, Y) = g(D_Z X, Y) + g(X, D_Z Y) \quad \forall X, Y, Z \in \Gamma(TM).$$

2.  $D$  being compatible with the complex structure, i.e.  $D^{0,1} = \bar{\partial}$ , is equivalent to

$$DJ = 0, \text{ i.e. } D_Z(JX) = JD_Z(X) \quad \forall X, Z \in \Gamma(TM).$$

i.e.  $J$  is *parallel* for  $D$  (follows from the fact that the connection matrix in the holomorphic frame has type  $(1, 0)$ ).

On the other hand, since  $g$  is a Riemannian metric, i.e. a smoothly varying inner product, there exists a unique connection (the *Levi-Civita connection*)  $\nabla$  on  $TM$ , which is again metric and it has zero *torsion*, i.e.

$$\nabla_X Y - \nabla_Y X = [X, Y], \quad \forall X, Y \in \Gamma(TM).$$

Thus, on a complex manifold with a hermitian metric, we have two natural connections: Chern and Levi-Civita. We ask for which hermitians metrics the two coincide.

### Theorem

Let  $g$  be a hermitian metric on a complex manifold  $(M, J)$ . The following conditions are equivalent:

- (i)  $J$  is parallel for the Levi-Civita connection  $\nabla$ .
- (ii) The Chern connection  $D$  has zero torsion.
- (iii) The Levi-Civita and the Chern connections coincide.
- (iv) The fundamental form  $\omega$  of  $g$  is closed,  $d\omega = 0$ , (recall that  $\omega(X, Y) = g(JX, Y)$ ).
- (v) For each point  $p \in M$ , there exists a smooth real function in a neighbourhood of  $p$ , such that  $\omega = i\partial\bar{\partial}f$ .
- (vi) For each point  $p \in M$ , there exist holomorphic coordinates  $w$  centred at  $p$ , such that  $g(w) = 1 + O(|w|^2)$ .

**Proof.** (i), (ii), and (iii) are clearly equivalent from the uniqueness properties. We show  $(i) \implies (iv) \implies (v) \implies (vi) \implies (i)$ .

$(i) \implies (iv)$ . Since  $\nabla g = 0$  and  $\nabla J = 0$ ,  $\nabla \omega = 0$ . For any  $p$ -form and any torsion-free connection  $\nabla$  one has (exercise):



$$d\omega(X_0, \dots, X_p) = \sum_{i=0}^p (-1)^i (\nabla_{X_i} \omega)(X_0, \dots, \widehat{X}_i, \dots, X_p),$$

which implies that parallel forms are closed.

(iv)  $\implies$  (v). This is the  $\partial\bar{\partial}$ -lemma applied to a ball  $B$  in  $\mathbb{C}^n$  (Dolbeault Lemma says that  $H^{0,1}(B) = 0$ ).

(v)  $\implies$  (vi). We can choose local coordinates around  $p_0$ , so that  $\omega = i \sum_{l,m} \omega_{lm} dz_l \wedge d\bar{z}_m$ , where

$$\omega_{lm} = \frac{1}{2} \delta_{lm} + \sum_j (a_{jlm} z_j + b_{jlm} \bar{z}_j) + O(|z|^2).$$

Reality implies that  $a_{jlm} = \overline{b_{jml}}$ . On the other hand, using (v), we have

$$a_{jlm} = \frac{\partial^3 f}{\partial z_j \partial z_l \partial \bar{z}_m},$$

so  $a_{jlm} = a_{jlm}$ . We now put

$$w_m = z_m + \sum_{j,l} a_{jlm} z_j z_l, \implies dw_m = dz_m + 2 \sum_{j,l} a_{jlm} z_j dz_l,$$

and compute:

$$\begin{aligned} \frac{1}{2} i \sum_m dw_m \wedge d\bar{w}_m &= \frac{1}{2} i \sum_m dz_m \wedge d\bar{z}_m + i \sum_{j,l,m} a_{jlm} z_j dz_l \wedge d\bar{z}_m \\ &\quad + i \sum_{j,l,m} \overline{a_{jlm}} \bar{z}_j dz_m \wedge d\bar{z}_l + O(|z|^2) \\ &= i \sum_{l,m} \omega_{lm} dz_l \wedge d\bar{z}_m + O(|z|^2) = \omega + O(|z|^2) = \omega + O(|w|^2). \end{aligned}$$

(vi)  $\implies$  (i) If we write  $z_i = x_i + \sqrt{-1}y_i$  for the coordinates obtained in (v), then the connection matrix of the Levi-Civita connection (*Christoffel symbols*) is equal to zero at  $p_0$ . Consequently,  $\nabla J$  vanishes at  $p_0$ , and, since  $p_0$  is arbitrary, it vanishes everywhere.  $\square$

A hermitian metric on a complex manifold satisfying the equivalent conditions (i) – (vi) is called a *Kähler metric*.

The fundamental form  $\omega$  of a Kähler metric is called a Kähler form and the local function  $f$  in (v) is called a local *Kähler potential*.

**Examples:**

1. The standard Euclidean metric on  $\mathbb{C}^n$ .

$$g = \frac{1}{2} \operatorname{Re} \sum_{s=1}^n dz_s \otimes d\bar{z}_s, \quad \omega = \frac{i}{2} \operatorname{Re} \sum_{s=1}^n dz_s \wedge d\bar{z}_s = \frac{i}{2} \partial\bar{\partial}|z|^2.$$

Thus  $f(z) = \frac{1}{2}|z|^2$  is a global Kähler potential on  $\mathbb{C}^n$ . The pair  $(g, J)$  is  $U(n)$ -invariant.

2. The Fubini-Study metric on  $\mathbb{C}P^n$ .

For a  $z = (z_0, \dots, z_n) \in \mathbb{C}^{n+1} - \{0\}$ , we put

$$\omega = i\partial\bar{\partial}\log(|z|^2).$$

This is invariant under rescaling by  $\mathbb{C}^*$  and, so, it induced a real closed  $(1, 1)$ -form on  $\mathbb{P}^n$ . We need to check that the corresponding symmetric form  $g(X, Y) = \omega(X, JY)$  is positive-definite. We compute first  $\omega$  in the chart  $U_0 = \{z_0 \neq 0\}$ , where the local coordinates are  $w_s = \frac{z_s}{z_0}$ ,  $s = 1, \dots, n$ :

$$\begin{aligned} \omega &= i\partial\bar{\partial}\log(1 + |w|^2) = \partial \left( \frac{i}{1 + |w|^2} \sum_{s=1}^n w_s d\bar{w}_s \right) = \\ &= \frac{i}{1 + |w|^2} \sum_{s=1}^n dw_s \wedge d\bar{w}_s - \frac{i}{(1 + |w|^2)^2} \left( \sum_{s=1}^n \bar{w}_s dw_s \right) \wedge \left( \sum_{s=1}^n w_s d\bar{w}_s \right) \end{aligned}$$

Observe that  $\omega$  is  $U(n+1)$ -invariant, and so it is enough to check positivity at any point  $p \in \mathbb{P}^n$ , e.g.  $p = [1, 0, \dots, 0]$ , i.e.  $w = 0$ . OK!

**Remark.** The Fubini-Study metric is the quotient metric on  $\mathbb{C}P^n \simeq S^{n+1}/S^1$  obtained from the standard round metric on  $S^{n+1}$ .

These two basic examples ( $\mathbb{C}^n$  and  $\mathbb{C}P^n$ ) yield plenty of others, because:

### Lemma

*A complex submanifold of a Kähler manifold, equipped with the induced metric, is Kähler.*

**Proof.** Let  $(N, J, g_N) \subset (M, J, g_M)$  as in the statement. The fundamental form  $\omega_N$  of  $g_N$  is just the pullback (restriction) of the fundamental form  $\omega_M$  of  $g_M$ , hence it is closed. □

Observe also that a product of two Kähler manifolds is Kähler.

On the other hand, many complex manifolds do not admit any Kähler metric, because:

### Lemma

*If  $M$  is a compact Kähler manifold, then  $H_{\text{DR}}^{2q}(M) \neq 0$ ,  $q \leq n = \dim_{\mathbb{C}} M$ .*

## Proof.

Let  $\omega$  be the Kähler form. Then  $\omega^q$  is a closed form, which is not exact. Indeed, had we  $\omega^q = d\psi$ , then  $\omega^n = d(\psi \wedge \omega^{n-q})$ , and, so:

$$\text{vol}(M) = \int_M \omega^n = \int_M d(\psi \wedge \omega^{n-q}) = 0,$$

which is impossible. □

Thus, for example, there is no Kähler metric on  $S^1 \times S^{2n-1}$  for  $n \geq 2$  (this is a complex manifold - see Examples Set 1).

Another easily provable topological restriction is

### Lemma

*Let  $M$  be a compact Kähler manifold. Then the identity map on  $\Omega^q M$  induces an injective map*

$$H_{\bar{\partial}}^{q,0}(M) \hookrightarrow H_{\text{DR}}^q(M, \mathbb{C}),$$

*i.e. every holomorphic  $(q,0)$ -form is closed and never exact.*

**Proof.** Let  $\eta$  be a holomorphic  $(q, 0)$ -form. Write  $\eta$  in a local unitary frame  $\{\phi_i\}$  as  $\eta = \sum f_I \phi_I$  ( $I$  runs over subsets of cardinality  $q$  and  $\phi_I$  is the wedge product of  $\phi_j$  with  $j \in I$ ). Then  $\eta \wedge \bar{\eta} = \sum f_I \bar{f}_J \phi_I \wedge \bar{\phi}_J$ .

On the other hand,  $\omega = \frac{\sqrt{-1}}{2} \sum \phi_i \wedge \bar{\phi}_i$ , and ( $n = \dim_{\mathbb{C}} M$ ):

$$\omega^{n-q} = c_q \sum_{\#K=n-q} \phi_K \wedge \bar{\phi}_K.$$

Hence

$$\eta \wedge \bar{\eta} \wedge \omega^{n-q} = c'_q \sum_{\#I=q} |f_I|^2 \omega^n,$$

since the only non-zero wedge-products arise for  $K$  disjoint from  $I$  and  $J$  (so for  $I = J$ ). In particular, if  $\eta \neq 0$ , then the integral over  $M$  of the LHS is non-zero. If, however,  $\eta = d\psi$ , then :

$$\eta \wedge \bar{\eta} \wedge \omega^{n-q} = d(\psi \wedge \bar{\eta} \wedge \omega^{n-q}),$$

since  $d\bar{\eta} = 0$  and  $d\omega = 0$ , and we obtain a contradiction.

Thus, a non-zero holomorphic  $(q, 0)$ -form is never exact. To show that  $\eta$  is closed, note:  $d\eta = (\partial + \bar{\partial})\eta = \bar{\partial}\eta$ , and, so,  $d\eta$  is a holomorphic  $(q+1, 0)$ -form, hence  $d\eta = 0$ .  $\square$

The last result is a particular case of a much stronger fact, which goes under the name of *Hodge relations* or *Hodge decomposition*:

### Theorem (Hodge)

*The complex cohomology of a compact Kähler manifold satisfies:*

$$H^r(M, \mathbb{C}) = \bigoplus_{p+q=r} H^{p,q}(M), \quad H^{p,q}(M) = \overline{H^{q,p}(M)}.$$

In particular: *for  $r$  odd,  $\dim H^r(M)$  is even.*

To give even an idea of a proof of this theorem of Hodge requires a substantial detour.

Before, let us see how badly this theorem fails for non-compact Kähler manifolds:

## Example

$M = \mathbb{C}^2 - \{0\}$ , so that  $H_{\text{DR}}^1(M) = 0$ . We shall show that  $\dim H_{\bar{\partial}}^{0,1}(M) = +\infty$ . Let  $U_1 = \{z_1 \neq 0\} = \mathbb{C}^* \times \mathbb{C}$ ,  $U_2 = \{z_2 \neq 0\} = \mathbb{C} \times \mathbb{C}^*$ , so that  $U_1 \cup U_2 = M$ ,  $U_1 \cap U_2 = \mathbb{C}^* \times \mathbb{C}^*$ . Let  $\lambda_1, \lambda_2 : M \rightarrow \mathbb{R}$  be a partition of unity subordinated to  $U_1, U_2$  and let  $f$  be a holomorphic function on  $U_1 \cap U_2$ . Then  $g_1 = \lambda_2 f$  defines a smooth function on  $U_1$  and  $g_2 = -\lambda_1 f$  defines a smooth function on  $U_2$ . Observe that on  $U_1 \cap U_2$ ,  $\bar{\partial}(g_1 - g_2) = \bar{\partial}f = 0$ , and so we can define a  $(0, 1)$ -form  $\omega$  on  $M$ , with  $\bar{\partial}\omega = 0$ , by

$$\omega = \begin{cases} \bar{\partial}g_1 = f\bar{\partial}\lambda_2 & \text{on } U_1 \\ -\bar{\partial}g_2 = -f\bar{\partial}\lambda_1 & \text{on } U_2. \end{cases}$$

Suppose that  $\omega = \bar{\partial}h$  for some  $h \in C^\infty(M)$ . Then  $\bar{\partial}(g_1 - h) = 0$  on  $U_1$  and  $\bar{\partial}(g_2 - h) = 0$ , and, so  $g_1 - h$  is holomorphic on  $U_1$ ,  $g_2 - h$  is holomorphic on  $U_2$ . Then  $f = g_1 - g_2 = (g_1 - h) - (g_2 - h)$ , and, hence,  $f = u_1 + u_2$ , where  $u_i$  is holomorphic on  $U_i$ ,  $i = 1, 2$ .

But any convergent Laurent series  $f$  on  $U_1 \cap U_2$ , which contains  $z_1^m z_2^n$ ,  $m, n < 0$ , is not  $u_1 + u_2$ , so  $\omega$  defined by such an  $f$  is not exact.

## An idea of a proof of the Hodge theorem

Let  $V$  be a vector space, equipped with an inner product  $\langle \cdot, \cdot \rangle$ . Then there is an induced inner product on the tensor powers  $V^{\otimes k}$ . By restriction, there is an inner product on the exterior powers  $\Lambda^k V$ . It can be described by choosing an orthonormal basis  $(e_1, \dots, e_n)$  and decreeing the following basis of  $\Lambda^k V$ :

$$\{e_{i_1} \wedge \cdots \wedge e_{i_k}; 1 \leq i_1 < \cdots < i_k \leq n\}$$

to be orthonormal. Now, if  $(M, g)$  is an oriented Riemannian manifold, then we can do the above on each tangent space  $T_x M$ . Since a dual of a vector space with an inner product also has an inner product, we get an inner product on  $\Lambda^k T_x^* M$ , and, if  $(M, g)$  is *oriented*, i.e. we have a nonvanishing volume form  $dV$ , *and compact*, then we can define an inner product on differential forms in  $\Omega^k M$ :

$$\langle \alpha, \beta \rangle = \int_M \langle \alpha|_x, \beta|_x \rangle dV.$$

The idea is that in every cohomology class in  $H_{\text{DR}}^k(M)$  we seek a representative  $\alpha$  with the smallest norm.

We also show that such a representative must be unique (an element with the smallest norm in a closed affine subspace of a Hilbert space). We do the same for the Dolbeault cohomology classes  $H_{\bar{\partial}}^{p,q}(M)$ . Finally, we show that, if  $M$  is Kähler, then, if we decompose the  $\alpha \in H_{\text{DR}}^k(M, \mathbb{C})$  with minimal norm into forms of type  $(p, q)$ ,  $p + q = k$ , then each component has the minimal norm in  $H_{\bar{\partial}}^{p,q}(M)$ .

### How to find the element of smallest norm in a cohomology class

$[\psi] \in H_{\text{DR}}^k(M)$ ?

We are looking for the element of smallest norm in an affine subspace  $P = \{\psi + d\eta; \eta \in \Omega^{k-1}M\}$ . For  $M$  compact,  $\Omega^k(M)$  with the inner product  $\langle, \rangle$  is a pre-Hilbert space (essentially an  $L^2$ -space), and were  $P$  a *closed* subspace, we could find an element of the smallest norm by the orthogonal projection ( $\Omega^k(M) = d\Omega^{k-1}M \oplus (d\Omega^{k-1}M)^\perp$ ).

The orthogonal projection, on the other hand, can be expressed via the adjoint operator to  $d$ :

$$\|\psi + d\eta\|^2 = \|\psi\|^2 + \|d\eta\|^2 + 2\langle\psi, d\eta\rangle = \|\psi\|^2 + \|d\eta\|^2 + 2\langle d^*\psi, \eta\rangle.$$

Thus, if  $d^*\psi = 0$ , then  $\psi$  has the smallest norm in  $P$ .

## Hodge dual

So cohomology classes should be represented by forms  $\psi$  such that  $d\psi = 0$  and  $d^*\psi = 0$ , once we define  $d^* : \Omega^{k+1} \rightarrow \Omega^k$ .

To define  $d^*$ , we go back to the situation described earlier:  $V$  is a vector space,  $\dim V = n$ , equipped with an inner product  $\langle, \rangle$  and an orientation, i.e. a preferred element of  $\Lambda^n V$ , e.g.  $e_1 \wedge \cdots \wedge e_n$ .

Any  $\alpha \in \Lambda^{n-k} V$  defines a linear functional  $\phi$  on  $\Lambda^k V$  by:

$$\omega \wedge \alpha = \phi(\omega) e_1 \wedge \cdots \wedge e_n.$$

Such a functional must be represented by  $\omega \mapsto \langle \omega, \tau \rangle$  for some  $\tau \in \Lambda^k V$ . This way, we get a 1-1 correspondence between  $\Lambda^k V$  and  $\Lambda^{n-k} V$ :

$$* : \Lambda^k V \rightarrow \Lambda^{n-k} V, \quad \tau \mapsto \alpha,$$

$$\omega \wedge * \tau = \langle \omega, \tau \rangle e_1 \wedge \cdots \wedge e_n.$$

The operator  $*$  is called the *Hodge dual*. Notice, in particular:

$$*1 = e_1 \wedge \cdots \wedge e_n, \quad \langle * \tau_1, * \tau_2 \rangle = \langle \tau_1, \tau_2 \rangle, \quad *^2 = (-1)^{k(n-k)} \text{ on } \Lambda^k V.$$

Again, we obtain such a  $*$  on differential forms of an oriented Riemannian manifold  $(M, g)$ .

**Example:** Take  $M = \mathbb{R}^3$  with the Euclidean metric  $g = \sum_{i=1}^3 dx_i \otimes dx_i$  and the standard orientation  $dx_1 \wedge dx_2 \wedge dx_3$ . We get:

$$*dx_1 = dx_2 \wedge dx_3, \quad *dx_2 = dx_3 \wedge dx_1, \quad *dx_3 = dx_1 \wedge dx_2.$$

Now, observe that  $*d* : \Omega^{k+1} \rightarrow \Omega^k$  and we have ( $dV$  denotes the volume form determining orientation):

$$\begin{aligned} \langle d\alpha, \beta \rangle dV &= d\alpha \wedge *\beta = d(\alpha \wedge *\beta) - (-1)^k \alpha \wedge d*\beta, \quad \text{so} \\ \langle d\alpha, \beta \rangle dV - d(\alpha \wedge *\beta) &= -(-1)^k \alpha \wedge d*\beta \\ &= -(-1)^k (-1)^{k(n-k)} \alpha \wedge *^2 d*\beta = -(-1)^{kn} \langle \alpha, *d*\beta \rangle dV. \end{aligned}$$

Therefore, the operator  $d^* = (-1)^{kn+1} *d* : \Omega^{k+1} \rightarrow \Omega^k$  is the adjoint of  $d$ , and is called the *codifferential*. A form  $\omega$ , such that  $d^*\omega = 0$  is called *co-closed*.

We need to show that on a compact oriented manifold  $(M, g)$ , any cohomology class has a representative  $\psi$  with  $d^*\psi = 0$  (and  $d\psi = 0$ ).

## The Riemannian Laplacian

Observe that such a form also satisfies  $(dd^* + d^*d)\psi = 0$ . The operator

$$\Delta = dd^* + d^*d : \Omega^k M \rightarrow \Omega^k M$$

is called the *Riemannian Laplacian* or the *Laplace-Beltrami operator*.

Let's check that we really get the usual Laplacian on functions on  $\mathbb{R}^n$ :

$$\begin{aligned} (dd^* + d^*d)f &= d^*df = -*d*\left(\sum \frac{\partial f}{\partial x_j} dx_j\right) = -*d\left(\sum \frac{\partial f}{\partial x_j} *dx_j\right) \\ &= -*\left(\sum \frac{\partial^2 f}{\partial x_i \partial x_j} dx_i \wedge *dx_j\right) = -*\left(\sum \frac{\partial^2 f}{\partial x_i^2}\right) dV = \Delta f. \end{aligned}$$

In general, let a Riemannian metric be given in local coordinates by  $g = \sum_{ij} dx_i \otimes dx_j$ . Let  $[g^{ij}]$  be the inverse matrix of  $[g_{ij}]$  (this defines the metric on  $T^*M$ ), and  $|g| = \det[g_{ij}]$ . Then

$$\Delta_g f = -\frac{1}{\sqrt{|g|}} \sum_{i,j} \frac{\partial}{\partial x_i} \left( \sqrt{|g|} g^{ij} \frac{\partial f}{\partial x_j} \right).$$

A form  $\psi$  such that  $\Delta\psi = 0$  is called *harmonic*. Thus, a form satisfying  $d\psi = d^*\psi = 0$  is harmonic. On a compact manifold, we also have the converse:

### Lemma

If  $M$  is compact, then any harmonic form  $\psi$  satisfies  $d\psi = d^*\psi = 0$ .

**Proof.** “Integration by parts”:

$$0 = \int_M \langle \Delta\psi, \psi \rangle dV = \int_M \langle dd^*\psi + d^*d\psi, \psi \rangle dV = \int_M (|d^*\psi|^2 + |d\psi|^2) dV.$$

### Corollary

A harmonic function on a compact Riemannian manifold is constant.

Let  $\mathcal{H}^k(M)$  be the vector space of harmonic  $k$ -forms, i.e.

$$\mathcal{H}^k(M) = \{\psi \in \Omega^k M; \Delta\psi = 0\}.$$

For  $M$  compact and oriented, let  $\langle, \rangle$  denote the global inner product on  $\Omega^k M$  (given by integration).

### Theorem (Hodge-de Rham)

On a compact oriented manifold  $(M, g)$ :

$$\Omega^k M = \mathcal{H}^k(M) \oplus d\Omega^{k-1} M \oplus d^*\Omega^{k+1} M,$$

where the summands are orthogonal with respect to the global inner product  $\langle, \rangle$ .

Before discussing the proof, let's see some applications:

### Corollary (Hodge isomorphism)

The natural map  $f : \mathcal{H}^k(M) \rightarrow H_{\text{DR}}^k(M)$ , given by  $\psi \mapsto [\psi]$  is an isomorphism.

**Proof.** We know that  $d\psi = 0$ , so the map is well defined. Since  $\mathcal{H}$  is orthogonal to exact forms, the kernel of  $f$  is zero. Finally, let  $[\omega] \in H_{\text{DR}}^k(M)$  and decompose  $\omega = \omega^H + d\lambda + d^*\phi$  with  $\omega^H$  harmonic. Then

$$0 = \langle d\omega, \phi \rangle = \langle dd^*\phi, \phi \rangle = \langle d^*\phi, d^*\phi \rangle.$$

Therefore  $d^*\phi = 0$  and  $[\omega] = [\omega^H + d\lambda] = \omega^H$ , so  $f$  is surjective.  $\square$



## Corollary (Poincaré duality)

On a compact oriented  $n$ -dimensional manifold  $M$ ,  
 $H_{\text{DR}}^k(M) \simeq H_{\text{DR}}^{n-k}(M)$ .

**Proof.** Put any metric on  $M$ . The Hodge dual  $*$  gives an isomorphism  
 $\mathcal{H}^k(M) \simeq \mathcal{H}^{n-k}(M)$ . □

### On the proof of the Hodge-de Rham Theorem

It is clear that the three summands  $\mathcal{H}^k(M)$ ,  $d\Omega^{k-1}M$ ,  $d^*\Omega^{k+1}M$  are mutually orthogonal: if  $\omega$  is harmonic, then  $\langle \omega, d\phi \rangle = \langle d^*\omega, \phi \rangle = 0$ . Similarly  $\langle \omega, d^*\psi \rangle = 0$ , and, finally:

$$\langle d\phi, d^*\psi \rangle = \langle dd\phi, \psi \rangle = 0.$$

The hard part is to show that their sum is all of  $\Omega^k M$ .

First of all, the space of smooth differential forms with the inner product  $\langle, \rangle$  is not a Hilbert space, i.e. it is not complete. This is just as for  $C^\infty$  functions on an interval - they do not form a complete linear topological space. In both cases, the completed space (equivalence classes of Cauchy sequences) consists of  $L^2$ -integrable objects. But then, we cannot differentiate them.

Put in another way: after completing  $\Omega^k M$ , we can find element of smallest norm in the *closure of every cohomology class*. But why should such an element be a smooth differentiable form?

The solution is to complete  $\Omega^k M$  not with respect to the norm  $\|\phi\| = \langle \phi, \phi \rangle^{1/2}$ , but one that involves also integration of (covariant) derivatives up to high order  $s$ . Such a completion is called a Sobolev space. Let us denote it by  $W_s^k M$ . It is a Hilbert space, and the Laplacian extends to a *Fredholm* operator  $\Delta_s : W_s^k(M) \rightarrow W_{s-2}^k(M)$  (Fredholm =  $\dim \text{Ker } \Delta < +\infty$ ,  $\dim \text{Coker } \Delta < +\infty$ ). Moreover  $\text{Ker } \Delta_s = \text{Ker } \Delta$ , so that every "Sobolev class" harmonic form is actually smooth.

We have now a well-defined  $Y = (\text{Ker } \Delta_s)^\perp$  and what we need is to show that  $Y \cap \Omega^k M = d\Omega^{k-1}M \oplus d^*\Omega^{k+1}M$ . We have

$Y = \mathfrak{S} \Delta_s^* : W_{s-2}^k(M) \rightarrow W_s^k(M)$ , but on smooth forms,  $\Delta_s^* = \Delta$  ( $\Delta$  is self-adjoint) so any smooth form  $\psi$  orthogonal to  $\text{Ker } \Delta$  is in the image of  $\Delta$ , i.e.  $\psi = \Delta u = (dd^* + d^*d)u = d(d^*u) + d^*(du)$ .

I hope that this rough outline gives some idea why the Hodge-de Rham theorem is true!

We now wish to an analogous decomposition on a compact Hermitian manifold  $(M, h, J)$  using the operator  $\bar{\partial}$ . Thus, we define the formal adjoint  $\bar{\partial}^* : \Omega^{p,q+1} M \rightarrow \Omega^{p,q} M$  of  $\bar{\partial}$  and the complex Laplacian  $\Delta_{\bar{\partial}} = \bar{\partial}^* \bar{\partial} + \bar{\partial} \bar{\partial}^*$ . The main points are:

- We have a hermitian inner product on  $\Omega^{p,q} M$ .
- There is a natural orientation on a complex manifold.
- The Hodge star maps  $\Omega^{p,q} M$  to  $\Omega^{n-q,n-p} M$ .
- As  $n$  is even,  $*^2 = (-1)^{p+q}$ .
- $\bar{\partial}^* = - * \partial *$ .

The formula for  $\Delta_{\bar{\partial}}$  in local coordinates is similar to the one given for the Riemannian Laplacian: if  $h = \text{Re} \sum h_{ij} dz_i \otimes dz_j$ , then (on functions)

$$\Delta_{\bar{\partial}} f = - \frac{1}{\sqrt{|h|}} \sum_{i,j} \frac{\partial}{\partial z_i} \left( \sqrt{|h|} h^{ij} \frac{\partial f}{\partial \bar{z}_j} \right).$$

But note the crucial difference!

A differential form  $\phi$ , such that  $\Delta_{\bar{\partial}} \phi = 0$  is called  $\bar{\partial}$ -harmonic.

Just like for  $\Delta_g$ : if  $M$  is compact, then  $\phi$  is  $\bar{\partial}$ -harmonic iff  $\bar{\partial} \phi = 0$  and  $\bar{\partial}^* \phi = 0$ .

We denote by  $\mathcal{H}^{p,q} M$  the space of  $\bar{\partial}$ -harmonic forms of type  $(p, q)$ .

#### Theorem (Dolbeault decomposition theorem)

On a compact Hermitian manifold  $(M, h, J)$ :

$$\Omega^{p,q} M = \mathcal{H}^{p,q}(M) \oplus \bar{\partial} \Omega^{p,q-1} M \oplus \bar{\partial}^* \Omega^{p,q+1} M,$$

where the summands are orthogonal with respect to the global hermitian product  $\langle, \rangle$ .

The proof is similar to that of the Hodge-de Rham theorem.; Again:

#### Corollary (Dolbeault isomorphism)

The natural map  $\mathcal{H}^{p,q}(M) \rightarrow H^{p,q}(M)$ , given by  $\psi \mapsto [\psi]$  is an isomorphism.

#### Corollary (Serre duality)

On a compact complex  $n$ -dimensional manifold  $M$ ,  $H^{p,q}(M) \simeq H^{n-p,n-q}(M)$ .

**Proof.**  $\bar{*} \mathcal{H}^{p,q}(M) \rightarrow \mathcal{H}^{n-p,n-q}(M)$  is an isomorphism. □

Thus, on a Hermitian manifold  $(M, g, I)$  we have two Laplacians: the Riemannian  $\Delta_h$  and the complex one  $\Delta_{\bar{\partial}}$ . In general, there is no relation between them; hence no relation between harmonic and  $\bar{\partial}$ -harmonic forms; hence no relation between De Rham and Dolbeault cohomology.

A miracle of Kähler geometry:

### Proposition

*If  $(M, h, J)$  is a Kähler manifold, then  $\Delta_h = 2\Delta_{\bar{\partial}}$ .*

**Proof.** Both Laplacians, written in local coordinates, involve only first derivatives of the metric. Thus, in normal Kähler coordinates (complex coordinates in which the metric is *Euclidean* +  $O(|z|^2)$  at a point  $p$ , the two Laplacians are  $\Delta_{\text{EUCL}} + O(|z|)$  and  $\Delta_{\bar{\partial}\text{-EUCL}} + O(|z|)$ . Since the Proposition holds in  $\mathbb{C}^n$ , we have  $\Delta_{h|_p} = 2\Delta_{\bar{\partial}|_p}$  and, hence, everywhere. □

On a *compact* Kähler manifold we obtain now Hodge relations from this Proposition, the Hodge-De Rham and the Dolbeault decomposition theorems.